



General Assembly

## ***Substitute Bill No. 1219***

*January Session, 2001*

### ***AN ACT PROPOSING COMPREHENSIVE CAMPAIGN FINANCE REFORM FOR STATE-WIDE CONSTITUTIONAL OFFICES AND GENERAL ASSEMBLY OFFICES.***

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1       Section 1. (NEW) As used in sections 1 to 4, inclusive, and 6 to 24,  
2       inclusive, of this act:

3       (1) "Commission" means the State Elections Enforcement  
4       Commission.

5       (2) "Convention" means "convention", as defined in section 9-372 of  
6       the general statutes.

7       (3) "Depository account" means the single checking account at the  
8       depository institution designated as the depository for the candidate  
9       committee's moneys in accordance with the provisions of subsection  
10      (a) of section 9-333f of the general statutes.

11      (4) "Fund" means the Citizens' Election Fund established in section 2  
12      of this act.

13      (5) "General election campaign" means (A) in the case of a candidate  
14      nominated at a primary, the period beginning on the day following the  
15      primary and ending on the date the campaign treasurer files the final  
16      statement for such campaign pursuant to section 9-333j of the general

17 statutes, or (B) in the case of a candidate nominated without a primary,  
18 the period beginning on the day following the day on which the  
19 candidate is nominated and ending on the date the campaign treasurer  
20 files the final statement for such campaign pursuant to said section 9-  
21 333j.

22 (6) "Lobbyist" means "lobbyist", as defined in section 1-91 of the  
23 general statutes.

24 (7) "Major party" means "major party", as defined in section 9-372 of  
25 the general statutes.

26 (8) "Minor party" means "minor party", as defined in section 9-372 of  
27 the general statutes.

28 (9) "Preconvention and convention campaign" means the period  
29 beginning on the date that a candidate files either a committee  
30 statement under subsection (a) of section 9-333f of the general statutes  
31 or a certification under subsection (b) of said section 9-333f, and  
32 ending on the day that the convention held by said candidate's party  
33 closes or, in the case of a petitioning party candidate, ending on the  
34 day that the Secretary of the State approves the candidate's nominating  
35 petition.

36 (10) "Primary campaign" means the period beginning on the day  
37 following the close of a convention and ending on the day of a primary  
38 held for the purpose of nominating a candidate for an office.

39 (11) "Qualified candidate committee" means a candidate committee  
40 (A) established to aid or promote the success of any candidate for  
41 nomination or election to a state office, and (B) approved by the  
42 commission to receive a grant from the Citizens' Election Fund under  
43 section 14 of this act.

44 (12) "Eligible petitioning party candidate" means a candidate for  
45 election to an office pursuant to part III C of chapter 153 of the general  
46 statutes whose nominating petition has been approved by the

47 Secretary of the State pursuant to subsection (c) of section 9-453o of the  
48 general statutes.

49 (13) "State office" means the office of Governor, Lieutenant  
50 Governor, Attorney General, State Comptroller, State Treasurer or  
51 Secretary of the State.

52 Sec. 2. (NEW) There is established, within the General Fund, a  
53 separate, nonlapsing fund to be known as the "Citizens' Election  
54 Fund". The fund may contain any moneys required by law to be  
55 deposited in the fund. Investment earnings credited to the assets of the  
56 fund shall become part of the assets of the fund. The State Treasurer  
57 shall administer the fund. All moneys deposited in the fund shall be  
58 used for the purposes of sections 1 to 4, inclusive, and 6 to 24,  
59 inclusive, of this act. The State Elections Enforcement Commission may  
60 deduct and retain from the moneys in the fund an amount equal to the  
61 costs incurred by the commission in administering the provisions of  
62 sections 1, 3, 4 and 6 to 24, inclusive, of this act provided said amount  
63 shall not exceed two per cent of the moneys deposited in the fund in  
64 any fiscal year. Any portion of said two per cent allocation which  
65 exceeds said costs incurred by the commission in any fiscal year shall  
66 continue to be available for any said costs incurred by the commission  
67 in subsequent fiscal years.

68 Sec. 3. (NEW) (a) (1) Any taxpayer filing a return under chapter 229  
69 of the general statutes for taxable years commencing on or after  
70 January 1, 2001, may contribute all or part of a refund under said  
71 chapter 229 to the Citizens' Election Fund established in section 2 of  
72 this act, by indicating on the tax return the amount to be contributed to  
73 the fund.

74 (2) Any taxpayer filing a return under chapter 229 of the general  
75 statutes for taxable years commencing on or after January 1, 2001,  
76 whose income tax liability for the taxable year, before applying any  
77 credit under section 12-704c of the general statutes, is five dollars or  
78 more, may designate that five dollars of such tax liability shall be paid

79 over to the fund by so indicating on the tax return. In the case of a  
80 husband and wife filing a joint return with an income tax liability of  
81 ten dollars or more, each spouse may designate that five dollars of  
82 such tax liability shall be paid over to the fund by so indicating on the  
83 tax return. Any designation made pursuant to this subdivision shall  
84 not increase the taxpayer's income tax liability.

85 (3) Any taxpayer filing a return under chapter 229 of the general  
86 statutes may contribute an additional amount to the Citizens' Election  
87 Fund established in section 2 of this act, by indicating on the tax return  
88 the amount to be contributed to the fund. Any contribution made  
89 pursuant to this subdivision shall be in addition to the amount of tax  
90 reported to be due on such return and shall be paid at the same time as  
91 the tax due on such return is paid and in the manner prescribed by the  
92 Commissioner of Revenue Services.

93 (b) A contribution or designation made pursuant to this section shall  
94 be irrevocable upon the filing of the return. A taxpayer making a  
95 contribution or designation pursuant to this subsection shall so  
96 indicate on the tax return in a manner provided for by the  
97 Commissioner of Revenue Services pursuant to subsection (c) of this  
98 section.

99 (c) The Commissioner of Revenue Services shall revise the income  
100 tax return form to implement the provisions of subsection (a) of this  
101 section. Such form shall include (1) a space on the return in which  
102 taxpayers may indicate their intention to make a contribution or  
103 designation in accordance with this section, and (2) instructions for  
104 payment of any contribution under subdivision (3) of subsection (a) of  
105 this section. The commissioner shall include in the instructions  
106 accompanying the tax return a description of the purposes for which  
107 the Citizens' Election Fund was established.

108 (d) A contribution of all or part of a refund shall be made in the full  
109 amount indicated if the refund found due the taxpayer upon the initial  
110 processing of the return, and after any deductions required by chapter

111 229 of the general statutes, is greater than or equal to the indicated  
112 contribution. If the refund due, as determined upon initial processing,  
113 and after any deductions required by said chapter 229, is less than the  
114 indicated contribution, the contribution shall be made in the full  
115 amount of the refund. The Commissioner of Revenue Services shall  
116 subtract the amount of any contribution of all or part of a refund from  
117 the amount of the refund initially found due the taxpayer and shall  
118 certify (1) the amount of the refund initially found due the taxpayer,  
119 (2) the amount of any such contribution, and (3) the amount of the  
120 difference to the Secretary of the Office of Policy and Management and  
121 the State Treasurer for payment to the taxpayer in accordance with  
122 said chapter 229. For the purposes of any subsequent determination of  
123 the taxpayer's net tax payment, such contribution shall be considered a  
124 part of the refund paid to the taxpayer.

125 (e) The Commissioner of Revenue Services, after notification of and  
126 approval by the Secretary of the Office of Policy and Management,  
127 may deduct and retain from the moneys collected under subsections  
128 (a) to (d), inclusive, of this section an amount equal to the costs of  
129 administering this section, but not to exceed four per cent of such  
130 moneys collected in any fiscal year. The Commissioner of Revenue  
131 Services shall deposit the remaining moneys collected in the Citizens'  
132 Election Fund.

133 Sec. 4. (NEW) (a) (1) Any taxpayer filing a return under chapter 208  
134 of the general statutes for taxable years commencing on or after  
135 January 1, 2001, may contribute all or part of a refund under said  
136 chapter 208 to the Citizens' Election Fund established in section 2 of  
137 this act, by indicating on the tax return the amount to be contributed to  
138 the fund.

139 (2) Any taxpayer filing a return under chapter 208 of the general  
140 statutes for taxable years commencing on or after January 1, 2001,  
141 whose income tax liability for the taxable year, before applying any  
142 credits under chapter 208 of the general statutes, is five dollars or  
143 more, may designate that two hundred dollars of such tax liability or,

144 if such tax liability is less than two hundred dollars, the full amount of  
145 such tax liability, shall be paid over to the Citizens' Election Fund  
146 established in section 2 of this act, by so indicating on the tax return.  
147 Any designation made pursuant to this subdivision shall not increase  
148 the taxpayer's income tax liability.

149 (3) Any taxpayer filing a return under chapter 208 of the general  
150 statutes may contribute an additional amount to the Citizens' Election  
151 Fund established in section 2 of this act, by indicating on the tax return  
152 the amount to be contributed to the fund. Any contribution made  
153 pursuant to this subdivision shall be in addition to the amount of tax  
154 reported to be due on such return and shall be paid at the same time as  
155 the tax due on such return is paid and in the manner prescribed by the  
156 Commissioner of Revenue Services.

157 (b) A contribution or designation made pursuant to this section shall  
158 be irrevocable upon the filing of the return. A taxpayer making a  
159 contribution or designation pursuant to this subsection shall so  
160 indicate on the tax return in a manner provided for by the  
161 Commissioner of Revenue Services pursuant to subsection (c) of this  
162 section.

163 (c) The Commissioner of Revenue Services shall revise the income  
164 tax return form to implement the provisions of subsection (a) of this  
165 section. Such form shall include (1) a space on the return in which  
166 taxpayers may indicate their intention to make a contribution or  
167 designation in accordance with this section, and (2) instructions for  
168 payment of any contribution under subdivision (3) of subsection (a) of  
169 this section. The commissioner shall include in the instructions  
170 accompanying the tax return a description of the purposes for which  
171 the Citizens' Election Fund was established.

172 (d) A contribution of all or part of a refund shall be made in the full  
173 amount indicated if the refund found due the taxpayer upon the initial  
174 processing of the return, and after any deductions required by chapter  
175 208 of the general statutes, is greater than or equal to the indicated

176 contribution. If the refund due, as determined upon initial processing  
177 and after any deductions required by said chapter 208, is less than the  
178 indicated contribution, the contribution shall be made in the full  
179 amount of the refund. The Commissioner of Revenue Services shall  
180 subtract the amount of any contribution of all or part of a refund from  
181 the amount of the refund initially found due the taxpayer and shall  
182 certify (1) the amount of the refund initially due the taxpayer, (2) the  
183 amount of any such contribution, and (3) the amount of the difference  
184 to the Secretary of the Office of Policy and Management and the State  
185 Treasurer for payment to the taxpayer in accordance with said chapter  
186 208. For the purposes of any subsequent determination of the  
187 taxpayer's net tax payment, such contribution shall be considered a  
188 part of the refund paid to the taxpayer.

189 (e) The Commissioner of Revenue Services, after notification of and  
190 approval by the Secretary of the Office of Policy and Management,  
191 may deduct and retain from the moneys collected under subsections  
192 (a) to (d), inclusive, of this section an amount equal to the costs of  
193 administering this section, but not to exceed four per cent of such  
194 moneys collected in any fiscal year. The Commissioner of Revenue  
195 Services shall deposit the remaining moneys collected in the Citizens'  
196 Election Fund.

197 Sec. 5. Subsection (e) of section 9-333j of the general statutes is  
198 repealed and the following is substituted in lieu thereof:

199 (e) (1) Notwithstanding any provisions of this chapter to the  
200 contrary, in the event of a surplus the campaign treasurer of a  
201 candidate committee or of a political committee, other than a political  
202 committee formed for ongoing political activities or an exploratory  
203 committee shall distribute or expend such surplus [within] not later  
204 than ninety days after a primary which results in the defeat of the  
205 candidate, an election or referendum, in the following manner:

206 (A) Such committees may distribute their surplus to a party  
207 committee, or a political committee organized for ongoing political

208 activities, return such surplus to all contributors to the committee on a  
209 prorated basis of contribution, distribute such surplus to the Citizens'  
210 Election Fund established in section 2 of this act or distribute such  
211 surplus to any charitable organization which is a tax-exempt  
212 organization under Section 501(c)(3) of the Internal Revenue Code of  
213 1986, or any subsequent corresponding internal revenue code of the  
214 United States, as from time to time amended, provided (i) no candidate  
215 committee may distribute such surplus to a committee which has been  
216 established to finance future political campaigns of the candidate, and  
217 (ii) a candidate committee which received moneys from the Citizens'  
218 Election Fund shall distribute such surplus to such fund;

219 (B) Each such political committee established by an organization  
220 which received its funds from the organization's treasury shall return  
221 its surplus to its sponsoring organization;

222 (C) (i) Each political committee formed solely to aid or promote the  
223 success or defeat of any referendum question, which does not receive  
224 contributions from a business entity or an organization, shall distribute  
225 its surplus to a party committee, to a political committee organized for  
226 ongoing political activities, to a national committee of a political party,  
227 to all contributors to the committee on a prorated basis of contribution,  
228 to state or municipal governments or agencies or to any organization  
229 which is a tax-exempt organization under Section 501(c)(3) of the  
230 Internal Revenue Code of 1986, or any subsequent corresponding  
231 internal revenue code of the United States, as from time to time  
232 amended, [ (ii) each] (ii) Each political committee formed solely to aid  
233 or promote the success or defeat of any referendum question, which  
234 receives contributions from a business entity or an organization, shall  
235 distribute its surplus to all contributors to the committee on a prorated  
236 basis of contribution, to state or municipal governments or agencies, or  
237 to any organization which is tax-exempt under said provisions of the  
238 Internal Revenue Code;

239 (D) The campaign treasurer of the candidate committee of a  
240 candidate who is elected to office may, upon the authorization of such



241 candidate, expend surplus campaign funds to pay for the cost of  
242 clerical, secretarial or other office expenses necessarily incurred by  
243 such candidate in preparation for taking office; except such surplus  
244 shall not be distributed for the personal benefit of any individual or to  
245 any organization; and

246 (E) The campaign treasurer of a candidate committee, or of a  
247 political committee, other than a political committee formed for  
248 ongoing political activities or an exploratory committee, shall, prior to  
249 the dissolution of such committee, either (i) distribute any equipment  
250 purchased, including, but not limited to, computer equipment, to any  
251 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell  
252 any equipment purchased, including, but not limited to, computer  
253 equipment, to any person for fair market value and then distribute the  
254 proceeds of such sale to any recipient as set forth in said subparagraph  
255 (A).

256 (2) Notwithstanding any provisions of this chapter to the contrary,  
257 the campaign treasurer of the candidate committee of a candidate who  
258 has withdrawn from a primary or election may, prior to the primary or  
259 election, distribute its surplus to any organization which is tax-exempt  
260 under Section 501(c)(3) of the Internal Revenue Code of 1986, or any  
261 subsequent corresponding internal revenue code of the United States,  
262 as from time to time amended, or return such surplus to all  
263 contributors to the committee on a prorated basis of contribution.

264 (3) [Within] Not later than seven days after such distribution or  
265 [within] not later than seven days after all funds have been expended  
266 in accordance with subparagraph (D) of subdivision (1) of this  
267 subsection, the campaign treasurer shall file a supplemental statement,  
268 sworn under penalty of false statement, with the proper authority,  
269 identifying all further contributions received since the previous  
270 statement and explaining how any surplus has been distributed or  
271 expended in accordance with this section. No surplus may be  
272 distributed or expended until after the election, primary or  
273 referendum.

274 (4) In the event of a deficit the campaign treasurer shall file a  
275 supplemental statement ninety days after the election, primary or  
276 referendum with the proper authority and, thereafter, on the seventh  
277 day of each month following if on the last day of the previous month  
278 there was an increase or decrease in the deficit in excess of five  
279 hundred dollars from that reported on the last statement filed. The  
280 campaign treasurer shall file such supplemental statements as required  
281 until the deficit is eliminated. If any such committee does not have a  
282 surplus or a deficit, the statement required to be filed [within] not later  
283 than forty-five days following any election or referendum or [within]  
284 not later than thirty days following any primary shall be the last  
285 required statement.

286 Sec. 6. (NEW) All payments of civil penalties or late fees imposed by  
287 the State Elections Enforcement Commission or the Secretary of the  
288 State under title 9 of the general statutes, which are received after the  
289 effective date of this section, shall be immediately transmitted to the  
290 State Treasurer for deposit in the Citizens' Election Fund established in  
291 section 2 of this act.

292 Sec. 7. (NEW) Any person, business entity, organization, party  
293 committee or political committee, as defined in section 9-333a of the  
294 general statutes, may contribute to the Citizens' Election Fund. Any  
295 such contribution shall be made by check or money order. The  
296 commission shall immediately transmit all contributions received  
297 pursuant to this section to the State Treasurer for deposit in the  
298 Citizens' Election Fund.

299 Sec. 8. (NEW) (a) As used in this section and section 9 of this act:

300 (1) "Election period" means the period beginning on the date that a  
301 candidate files either a committee statement under subsection (a) of  
302 section 9-333f of the general statutes or a certification under subsection  
303 (b) of said section 9-333f, and ending on the day the campaign  
304 treasurer files the final statement for the election campaign pursuant to  
305 section 9-333j of the general statutes.

306       (2) "Primary period" means the period beginning on the first day of  
307 the election period and ending on the day that a primary is held for  
308 nomination to an office pursuant to section 9-423 of the general  
309 statutes.

310       (b) There is established a program of voluntary campaign  
311 expenditure limits for major party, minor party and eligible petitioning  
312 party candidates for election to the office of state representative or  
313 state senator in 2006, and thereafter. Any such candidate who agrees to  
314 limit the amount of expenditures made or incurred by the candidate  
315 committee for such candidate during the election period and, in the  
316 event of a primary, during the primary period, shall be eligible to  
317 receive moneys from the Citizens' Election Fund, if a candidate for  
318 election to the same office in said year does not agree to said limits and  
319 exceeds either the election period limit or, in the event of a primary,  
320 the primary period limit.

321       (c) (1) The voluntary election period expenditure limits for the  
322 election held in 2006, shall be:

323       (A) For a candidate for election to the office of state representative,  
324 fifty thousand dollars, adjusted for inflation in accordance with  
325 subdivision (2) of this subsection; and

326       (B) For a candidate for election to the office of state senator, one  
327 hundred thirty thousand dollars, adjusted for inflation in accordance  
328 with subdivision (2) of this subsection.

329       (2) On January 15, 2006, the State Elections Enforcement  
330 Commission shall adjust the expenditure limits in subdivision (1) of  
331 this subsection in accordance with any change, during the period  
332 beginning on January 1, 2002, and ending on December 31, 2005, in the  
333 Consumer Price Index for all urban consumers as published by the  
334 United States Department of Labor, Bureau of Labor Statistics.

335       (3) The voluntary election period campaign expenditure limits for  
336 elections held in 2008, and thereafter, shall be the limits under

337 subdivision (1) of this subsection, adjusted for inflation under  
338 subdivision (4) of this subsection.

339 (4) On January 15, 2008, and biennially thereafter, the State Elections  
340 Enforcement Commission shall adjust the expenditure limits in  
341 subdivision (1) of this subsection, in accordance with any change  
342 during the period beginning on January 1, 2002, and ending on  
343 December thirty-first in the year preceding the year in which said  
344 adjustment is to be made, in the Consumer Price Index for all urban  
345 consumers as published by the United States Department of Labor,  
346 Bureau of Labor Statistics.

347 (5) The voluntary primary period expenditure limits for a primary  
348 held in 2006, or thereafter, shall be fifty per cent of the applicable  
349 election period expenditure limit under this subsection. Campaign  
350 expenditures during a primary period shall also be counted as election  
351 period expenditures for purposes of the election period campaign  
352 expenditure limit.

353 Sec. 9. (NEW) (a) Each candidate for election to the office of state  
354 representative or state senator in 2006, or thereafter, shall file an  
355 affidavit with the State Elections Enforcement Commission at the same  
356 time that the candidate files either a committee statement under  
357 subsection (a) of section 9-333f of the general statutes or a certification  
358 under subsection (b) of said section 9-333f. The affidavit shall include a  
359 written certification that the candidate either intends to abide by the  
360 applicable expenditure limits under subsection (c) of section 8 of this  
361 act or does not intend to abide by said limits. If the candidate does  
362 intend to abide by said limits, the affidavit shall also include written  
363 certifications that (1) the campaign treasurer of the candidate  
364 committee for said candidate shall expend any moneys received from  
365 the fund in accordance with the provisions of subsection (g) of section  
366 9-333i of the general statutes, and (2) the candidate shall repay to the  
367 fund any such moneys which are not expended in accordance with  
368 subsection (g) of said section 9-333i. A candidate who so certifies the  
369 candidate's intent to abide by said limits shall be referred to in this

370 section as a "participating candidate" and a candidate who so certifies  
371 the candidate's intent to not abide by said limits shall be referred to in  
372 this section as a "nonparticipating candidate". The commission shall  
373 prepare a list of the participating candidates and a list of the  
374 nonparticipating candidates and shall make such lists available for  
375 public inspection.

376 (b) The campaign treasurer of the candidate committee for each  
377 candidate for the office of state representative or state senator shall file  
378 campaign finance statements with the office of the Secretary of the  
379 State (1) according to the same schedule as required of a campaign  
380 treasurer of a candidate committee under section 9-333j of the general  
381 statutes until receiving contributions and receipts totaling seventy-five  
382 per cent of (A) the election period expenditure limit in subsection (c) of  
383 section 8 of this act for the office to which the candidate is seeking  
384 election, or (B) the primary period expenditure limit in said subsection  
385 (c) if a primary is being held for nomination to said office, and (2) then,  
386 notwithstanding said schedule in said section 9-333j, on the second  
387 Thursday of each month between the beginning of the fourth month  
388 preceding the day of the election for said office and the beginning of  
389 the sixth week preceding the election and then on each Thursday until  
390 the day of the election. Said statements shall be prepared in the same  
391 manner as statements required under section 9-333j of the general  
392 statutes.

393 (c) (1) The commission shall review all statements filed by campaign  
394 treasurers under subsection (b) of this section and under section 9-333j  
395 of the general statutes.

396 (2) If a primary is being held for nomination to an office and the  
397 commission determines that (A) the candidate committee for a  
398 nonparticipating candidate has made or incurred campaign  
399 expenditures during the primary period that exceed the applicable  
400 primary period expenditure limit under subsection (c) of section 8 of  
401 this act, and (B) the candidate committee for one or more participating  
402 candidates for the same office has not made or incurred such excess

403 campaign expenditures during the primary period and has received  
404 contributions and receipts totaling twenty-five per cent of the  
405 applicable primary period expenditure limit in subsection (c) of section  
406 8 of this act, the commission shall notify the State Comptroller that the  
407 candidate committee for each said participating candidate shall be  
408 entitled to payment in an amount equaling the amount of the  
409 nonparticipating candidate's excess expenditures. Not later than two  
410 business days following notification by the commission, the State  
411 Comptroller shall draw an order on the State Treasurer for payment of  
412 said amount to each said participating candidate.

413       (3) If no primary is held for nomination to an office, or after a  
414 primary is held for nomination to an office, the commission determines  
415 that (A) the candidate committee for a nonparticipating candidate has  
416 made or incurred campaign expenditures during the election period  
417 that exceed the applicable election period expenditure limit under  
418 subsection (c) of section 8 of this act, and (B) the candidate committee  
419 for one or more participating candidates for the same office has not  
420 made or incurred such excess campaign expenditures during the  
421 election period and has received contributions and receipts totaling  
422 twenty-five per cent of the applicable election period expenditure limit  
423 in subsection (c) of section 8 of this act, the commission shall notify the  
424 State Comptroller that the candidate committee for each said  
425 participating candidate shall be entitled to payment in an amount  
426 equaling the amount of the nonparticipating candidate's excess  
427 expenditures. Not later than two business days following notification  
428 by the commission, the State Comptroller shall draw an order on the  
429 State Treasurer for payment of said amount to each said participating  
430 candidate.

431       (4) If the commission subsequently determines that a  
432 nonparticipating candidate under subdivision (2) or (3) of this  
433 subsection has made additional campaign expenditures during the  
434 primary period or the election period that exceed said limit and the  
435 candidate committee for one or more participating candidates for  
436 nomination and election to the same office has not made or incurred

437 any excess campaign expenditures, the commission shall notify the  
438 State Comptroller that the candidate committee for each said  
439 participating candidate shall be entitled to payment in an amount  
440 equaling the amount of the nonparticipating candidate's additional  
441 excess expenditures for the primary period or election period,  
442 whichever is applicable. Not later than two business days following  
443 notification by the commission, the State Comptroller shall draw an  
444 order on the State Treasurer for payment of said amount to each said  
445 participating candidate.

446 (d) The following shall not be subject to the expenditure limits  
447 under this section: In-kind contributions from party committees for  
448 coordinated campaign expenditures, including, but not limited to,  
449 phone banks and voter lists, which are made available to all party-  
450 endorsed candidates whose names appear on a ballot.

451 (e) Upon the receipt of a report under subsection (e) of section 9-  
452 333n of the general statutes, as amended by this act, that an  
453 independent expenditure has been made or obligated to be made, with  
454 the intent to promote the defeat of a participating candidate who has  
455 received contributions and receipts totaling twenty-five per cent of the  
456 applicable expenditure limit for a primary period or an election period  
457 in subsection (c) of section 8 of this act, the commission shall  
458 immediately notify the State Comptroller that additional money, equal  
459 to the amount of the independent expenditure, shall be paid to the  
460 candidate committee for said participating candidate. Not later than  
461 two business days following notification by the commission, the State  
462 Comptroller shall draw an order on the State Treasurer for payment of  
463 such amount to said candidate committee from the fund.

464 Sec. 10. (NEW) (a) There is established a Citizens' Election Program  
465 under which (1) the candidate committee of a major party or minor  
466 party candidate for nomination to a state office in 2006, or thereafter,  
467 may receive a grant from the Citizens' Election Fund for the  
468 candidate's primary campaign for said nomination, and (2) the  
469 candidate committee of a major party, minor party or eligible

470 petitioning party candidate for election to a state office in 2006, or  
471 thereafter, may receive a grant from the fund for the candidate's  
472 general election campaign for said office. Any such candidate is  
473 eligible to receive such grants if (A) the candidate's candidate  
474 committee receives the required amount of qualifying contributions set  
475 forth in section 11 of this act, (B) the candidate agrees to the  
476 preconvention and convention campaign, primary campaign and  
477 general election campaign expenditure limits set forth in section 12 of  
478 this act, and (C) the candidate complies with the requirements of  
479 section 14 of this act.

480 (b) Each major party and minor party candidate for nomination or  
481 election to a state office in 2006, or thereafter, and each petitioning  
482 candidate for election to a state office in 2006, or thereafter, shall file an  
483 affidavit with the State Elections Enforcement Commission, at the  
484 same time that the candidate files either a committee statement under  
485 subsection (a) of section 9-333f of the general statutes or a certification  
486 under subsection (b) of said section 9-333f. The affidavit shall include a  
487 written certification that the candidate either intends to abide by the  
488 applicable expenditure limits under the Citizens' Election Program for  
489 the candidate's campaign for said office, as set forth in section 12 of  
490 this act, or does not intend to abide by said limits. A candidate who so  
491 certifies the candidate's intent to abide by said limits shall be referred  
492 to in sections 10 to 24, inclusive, of this act as a "participating  
493 candidate" and a candidate who so certifies the candidate's intent to  
494 not abide by said limits shall be referred to in said sections as a  
495 "nonparticipating candidate". The commission shall prepare a list of  
496 the participating candidates and a list of the nonparticipating  
497 candidates and shall make such lists available for public inspection.

498 Sec. 11. (NEW) (a) The amount of qualifying contributions which  
499 the candidate committee of a candidate needs to receive in order to be  
500 eligible for grants from the Citizens' Election Fund shall be:

501 (1) In the case of a candidate for nomination or election to the office  
502 of Governor, contributions from individuals in the aggregate amount



503 of five hundred thousand dollars, of which four hundred fifty  
504 thousand dollars or more is contributed by individuals residing in the  
505 state, provided (A) no such contribution that exceeds two hundred  
506 fifty dollars shall be considered in calculating such amounts, and (B)  
507 all contributions which are received by an exploratory committee  
508 established by said candidate and which meet such criteria shall be  
509 considered in calculating such amounts, except that contributions from  
510 the same individual to said exploratory committee and said candidate  
511 committee that, in the aggregate for both committees, exceed two  
512 hundred fifty dollars shall not be considered in calculating such  
513 amounts; and

514 (2) In the case of a candidate for nomination or election to the office  
515 of Lieutenant Governor, Attorney General, State Comptroller, State  
516 Treasurer or Secretary of the State, contributions from individuals in  
517 the aggregate amount of one hundred fifty thousand dollars, of which  
518 one hundred thirty-five thousand dollars or more is contributed by  
519 individuals residing in the state, provided (A) no such contribution  
520 that exceeds one hundred fifty dollars shall be considered in  
521 calculating such amounts, and (B) all contributions which are received  
522 by an exploratory committee established by said candidate and which  
523 meet such criteria shall be considered in calculating such amounts,  
524 except that contributions from the same individual to said exploratory  
525 committee and said candidate committee that, in the aggregate for  
526 both committees, exceed one hundred fifty dollars shall not be  
527 considered in calculating such amounts.

528 (b) Each individual who makes a contribution to a candidate  
529 committee established to aid or promote the success of a participating  
530 candidate for nomination or election to a state office shall include the  
531 individual's name and address with the contribution. A contribution  
532 from an individual that does not include such information shall not be  
533 deemed to be a qualifying contribution under subsection (a) of this  
534 section.

535 Sec. 12. (NEW) (a) The expenditure limit under the Citizens' Election

536 Program in 2006, and thereafter, for a preconvention and convention  
537 campaign for participating candidates for election to the office of  
538 Governor shall be the amount of qualifying contributions which said  
539 candidates need to receive under subdivision (1) of subsection (a) of  
540 section 11 of this act, subject to adjustment under subsection (i) of this  
541 section.

542 (b) The following are the expenditure limits under the Citizens'  
543 Election Program for a primary campaign for participating candidates  
544 for nomination to the office of Governor in 2006, and thereafter, subject  
545 to adjustment under subsection (i) of this section:

546 (1) For a candidate who receives the endorsement of the candidate's  
547 party at the state convention, one million five hundred thousand  
548 dollars;

549 (2) For a nonendorsed candidate who receives fifteen per cent of the  
550 votes of the convention delegates of the candidate's party who are  
551 present and voting on any roll-call vote taken on the endorsement or  
552 proposed endorsement of a candidate for said office at a convention  
553 where the party endorses a candidate for said office, five hundred  
554 thousand dollars;

555 (3) For a nonendorsed candidate who receives more than fifteen per  
556 cent of the votes of the convention delegates of the candidate's party  
557 who are present and voting on any roll-call vote taken on the  
558 endorsement or proposed endorsement of a candidate for said office at  
559 a convention where the party endorses a candidate for said office, the  
560 sum of five hundred thousand dollars and twenty-eight thousand five  
561 hundred dollars for each per cent of the vote of said convention  
562 delegates that the candidate receives on said roll call in excess of  
563 fifteen per cent; and

564 (4) For a nonendorsed candidate who receives fifteen per cent or  
565 more of the votes of the convention delegates of the candidate's party  
566 who are present and voting on any roll-call vote taken on the  
567 endorsement or proposed endorsement of a candidate for said office at

568 a convention where the party does not endorse a candidate for said  
569 office, five hundred thousand dollars.

570 (c) If substitute house bill 6697 of the current session is enacted into  
571 law, the following provisions shall apply in lieu of subsection (b) of  
572 this section:

573 (1) The expenditure limit under the Citizens' Election Program in  
574 2006, and thereafter, for a primary campaign for all participating  
575 candidates for nomination to the office of Governor shall be one  
576 million five hundred thousand dollars, subject to adjustment under  
577 subsection (i) of this section.

578 (2) Contributions from a state central committee totaling not more  
579 than three hundred thousand dollars for a primary campaign of a  
580 participating candidate for nomination to the office of Governor shall  
581 not be subject to the expenditure limit under subdivision (1) of this  
582 subsection.

583 (3) The expenditure limit under the Citizens' Election Program in  
584 2006, and thereafter, for a general election campaign for participating  
585 candidates for election to the office of Governor and Lieutenant  
586 Governor who are nominated by the same party, where the candidate  
587 for election to the office of Governor is nominated by a primary, shall  
588 be a total of five million two hundred fifty thousand dollars, subject to  
589 adjustment under subsection (i) of this section.

590 (d) The expenditure limit under the Citizens' Election Program in  
591 2006, and thereafter, for a general election campaign for participating  
592 candidates for election to the office of Governor and Lieutenant  
593 Governor who are nominated by the same party shall be a total  
594 combined amount of six million dollars, subject to adjustment under  
595 subsection (i) of this section. If substitute house bill 6697 of the current  
596 session is enacted into law, the provisions of this subsection shall  
597 apply only to a candidate for election to the office of Governor who is  
598 nominated without a primary.

599 (e) The expenditure limit under the Citizens' Election Program in  
600 2006, and thereafter, for a preconvention and convention campaign for  
601 participating candidates for election to the office of Lieutenant  
602 Governor, Attorney General, State Comptroller, Secretary of the State  
603 or State Treasurer shall be the amount of qualifying contributions  
604 which said candidates need to receive under subdivision (2) of  
605 subsection (a) of section 11 of this act, subject to adjustment under  
606 subsection (i) of this section.

607 (f) The following are the expenditure limits under the Citizens'  
608 Election Program for a primary campaign for participating candidates  
609 for nomination to the office of Lieutenant Governor, Attorney General,  
610 State Comptroller, Secretary of the State or State Treasurer in 2006, and  
611 thereafter, subject to adjustment under subsection (i) of this section:

612 (1) For a candidate who receives the endorsement of the candidate's  
613 party at the state convention, five hundred thousand dollars;

614 (2) For a nonendorsed candidate who receives fifteen per cent of the  
615 votes of the convention delegates of the candidate's party who are  
616 present and voting on any roll-call vote taken on the endorsement or  
617 proposed endorsement of a candidate for said office at a convention  
618 where the party endorses a candidate for said office, one hundred fifty  
619 thousand dollars;

620 (3) For a nonendorsed candidate who receives more than fifteen per  
621 cent of the votes of the convention delegates of the candidate's party  
622 who are present and voting on any roll-call vote taken on the  
623 endorsement or proposed endorsement of a candidate for said office at  
624 a convention where the party endorses a candidate for said office, the  
625 sum of one hundred fifty thousand dollars and ten thousand dollars  
626 for each per cent of the vote of said convention delegates that the  
627 candidate receives on said roll call in excess of fifteen per cent; and

628 (4) For a nonendorsed candidate who receives fifteen per cent or  
629 more of the votes of the convention delegates of the candidate's party  
630 who are present and voting on any roll-call vote taken on the

631 endorsement or proposed endorsement of a candidate for said office at  
632 a convention where the party does not endorse a candidate for said  
633 office, one hundred fifty thousand dollars.

634 (g) If substitute house bill 6697 of the current session is enacted into  
635 law, the following provisions shall apply in lieu of subsection (f) of this  
636 section:

637 (1) The expenditure limit under the Citizens' Election Program in  
638 2006, and thereafter, for a primary campaign for all participating  
639 candidates for nomination to the office of Lieutenant Governor,  
640 Attorney General, State Comptroller, Secretary of the State or State  
641 Treasurer shall be three hundred fifty thousand dollars, subject to  
642 adjustment under subsection (i) of this section.

643 (2) Contributions from a state central committee totaling not more  
644 than one hundred twenty-five thousand dollars for a primary  
645 campaign of a participating candidate for nomination to the office of  
646 Lieutenant Governor, Attorney General, State Comptroller, Secretary  
647 of the State or State Treasurer shall not be subject to the expenditure  
648 limit under subdivision (1) of this subsection.

649 (3) The expenditure limit under the Citizens' Election Program in  
650 2006, and thereafter, for a general election campaign for participating  
651 candidates for election to the office of Attorney General, State  
652 Comptroller, Secretary of the State or State Treasurer, who are  
653 nominated by a primary, shall be six hundred fifty thousand dollars,  
654 subject to adjustment under subsection (i) of this section.

655 (h) The expenditure limit under the Citizens' Election Program for a  
656 general election campaign for participating candidates for election to  
657 the office of Attorney General, State Comptroller, Secretary of the State  
658 or State Treasurer in 2006, and thereafter, shall be seven hundred fifty  
659 thousand dollars, subject to adjustment under subsection (i) of this  
660 section. If substitute house bill 6697 of the current session is enacted  
661 into law, the provisions of this subsection shall apply only to a  
662 candidate for election to any said office who is nominated without a

663 primary.

664 (i) On January 15, 2006, and quadrennially thereafter, the  
665 commission shall adjust the expenditure limits in subsections (a) to (h),  
666 inclusive, of this section in accordance with any change during the  
667 period beginning on January 1, 2002, and ending on December thirty-  
668 first in the year preceding the year in which said adjustment is to be  
669 made, in the Consumer Price Index for all urban consumers as  
670 published by the United States Department of Labor, Bureau of Labor  
671 Statistics.

672 (j) The following shall not be subject to the expenditure limits under  
673 this section:

674 (1) In-kind contributions from party committees for coordinated  
675 campaign expenditures, including, but not limited to, phone banks and  
676 voter lists, which are made available to all party-endorsed candidates  
677 whose names appear on a ballot.

678 (2) (A) For participating candidates for nomination or election to the  
679 office of Governor, a total of not more than one hundred twenty-five  
680 thousand dollars in contributions from party committees, of which not  
681 more than fifty thousand dollars may be contributed by a state central  
682 committee, not more than seventy-five thousand dollars may be  
683 contributed in total from town committees and not more than one  
684 thousand dollars may be contributed by a single town committee.

685 (B) For participating candidates for nomination or election to the  
686 office of Lieutenant Governor, Attorney General, State Comptroller,  
687 Secretary of the State or State Treasurer, a total of not more than thirty  
688 thousand dollars in contributions from party committees, of which not  
689 more than ten thousand dollars may be contributed by a state central  
690 committee, not more than twenty thousand dollars may be contributed  
691 in total from town committees and not more than five hundred dollars  
692 may be contributed by a single town committee.

693 Sec. 13. (NEW) (a) A candidate for state office who receives the

694 qualifying amount of contributions under section 11 of this act shall be  
695 eligible to receive grants under the Citizens' Election Program for a  
696 primary campaign and a general election campaign in the amount of  
697 the applicable expenditure limits for such campaigns for said office set  
698 forth in section 12 of this act.

699 (b) No grant under the Citizens' Election Program may be applied to  
700 a deficit incurred by a candidate committee.

701 (c) The campaign treasurer of a candidate committee for a candidate  
702 for state office who receives a grant under the Citizens' Election  
703 Program shall distribute all unspent candidate committee funds from  
704 other sources to the Citizens' Election Fund.

705 Sec. 14. (NEW) (a) A candidate for nomination or election to a state  
706 office in 2006, or thereafter, may apply to the State Elections  
707 Enforcement Commission for a grant from the fund under the Citizens'  
708 Election Program for (1) a primary campaign, after the close of the  
709 state convention of the candidate's party that is called for the purpose  
710 of choosing candidates for nomination for the office that the candidate  
711 is seeking, if said party endorses the candidate for the office that the  
712 candidate is seeking or the candidate receives at least fifteen per cent  
713 of the votes of the convention delegates present and voting on any roll-  
714 call vote taken on the endorsement or proposed endorsement of a  
715 candidate for the office the candidate is seeking; or (2) a general  
716 election campaign (A) after the close of the state convention of the  
717 candidate's party that is called for the purpose of choosing candidates  
718 for nomination for the office that the candidate is seeking, if (i) said  
719 party endorses said candidate for the office that the candidate is  
720 seeking and no other candidate of said party either receives at least  
721 fifteen per cent of the votes of the convention delegates present and  
722 voting on any roll-call vote taken on the endorsement or proposed  
723 endorsement of a candidate for said office or files a certificate of  
724 candidacy with the Secretary of the State in accordance with the  
725 provisions of section 9-400 of the general statutes, or (ii) the candidate  
726 receives at least fifteen per cent of the votes of the convention delegates

727 present and voting on any roll-call vote taken on the endorsement or  
728 proposed endorsement of a candidate for the office the candidate is  
729 seeking and no other candidate for such office at such convention  
730 either receives the party endorsement or said percentage of said votes  
731 for said endorsement or files a certificate of endorsement with the  
732 Secretary of the State in accordance with the provisions of section 9-388  
733 of the general statutes or a certificate of candidacy with the Secretary  
734 of the State in accordance with the provisions of section 9-400 of the  
735 general statutes, (B) after any primary held by such party for  
736 nomination for such office, if the Secretary of the State declares that the  
737 candidate is the party nominee in accordance with the provisions of  
738 section 9-440 of the general statutes, or (C) in the case of a petitioning  
739 party candidate, after approval by the Secretary of the State of such  
740 candidate's nominating petition pursuant to subsection (c) of section 9-  
741 453o of the general statutes.

742 (b) The application shall include a written certification that:

743 (1) The candidate committee has received the required amount of  
744 qualifying contributions;

745 (2) The candidate committee has repaid all moneys borrowed on  
746 behalf of the campaign, as required by subsection (b) of section 16 of  
747 this act;

748 (3) The candidate committee has returned any contribution from an  
749 individual who does not include the individual's name and address  
750 with the contribution;

751 (4) The campaign treasurer of the candidate committee shall comply  
752 with the provisions of sections 1 and 10 to 24, inclusive, of this act;

753 (5) All moneys received from the fund shall be deposited upon  
754 receipt into the depository account of the candidate committee;

755 (6) The campaign treasurer of the candidate committee shall expend  
756 all moneys received from the fund in accordance with the provisions of



757 subsection (g) of section 9-333i of the general statutes; and

758 (7) If the candidate withdraws from the campaign, becomes  
759 ineligible or dies during the campaign, the candidate committee of the  
760 candidate shall return to the commission, for deposit in the fund, all  
761 moneys received from the fund pursuant to sections 1 and 10 to 24,  
762 inclusive, of this act which said candidate committee has not spent as  
763 of the date of such occurrence.

764 (c) The application shall be accompanied by a cumulative itemized  
765 accounting of all funds received, expenditures made and expenses  
766 incurred but not yet paid by the candidate committee as of three days  
767 before the date that the application is signed. Such accounting shall be  
768 sworn to under penalty of false statement by the campaign treasurer of  
769 the candidate committee. The commission shall prescribe the form of  
770 the application and the cumulative itemized accounting, after  
771 consulting with the Secretary of the State. The form for such  
772 accounting shall conform to the requirements of section 9-333j of the  
773 general statutes. Both the candidate and the campaign treasurer of the  
774 candidate committee shall sign the application.

775 (d) Not later than three business days following receipt of any such  
776 application, the commission shall review the application, determine  
777 whether the candidate committee for the applicant (1) has received the  
778 required qualifying contributions, and (2) in the case of an application  
779 for a grant from the fund for a primary campaign or a general election  
780 campaign, the applicant has met the applicable condition under  
781 subsection (a) of this section for applying for such moneys and, if so,  
782 determine the amount of the grant payable to the candidate committee  
783 from the fund and notify the State Comptroller and the candidate of  
784 such candidate committee, of such amount. Not later than two  
785 business days following notification by the commission, the State  
786 Comptroller shall draw an order on the State Treasurer for payment of  
787 such amount to the qualified candidate committee from the Citizens'  
788 Election Fund.

789       Sec. 15. (NEW) Following the initial deposit of moneys from the  
790 Citizens' Election Fund into the depository account of a qualified  
791 candidate committee, no contribution, loan, amount of the candidate's  
792 own moneys or any other moneys received by the candidate or the  
793 campaign treasurer on behalf of the committee shall be deposited into  
794 said depository account, except (1) grants from the fund, (2)  
795 contributions from party committees pursuant to section 12 of this act,  
796 and (3) any additional moneys from the fund as provided in sections  
797 20 and 21 of this act.

798       Sec. 16. (NEW) A qualified candidate committee which receives  
799 moneys from the Citizens' Election Fund for a primary campaign and  
800 whose candidate is the party nominee shall receive moneys from the  
801 fund for a general election campaign. Upon receiving verification from  
802 the Secretary of the State of the declaration by the Secretary of the State  
803 in accordance with the provisions of section 9-440 of the general  
804 statutes, of the results of the votes cast at the primary, the commission  
805 shall notify the State Comptroller of the amount payable to such  
806 qualified candidate committee. Not later than two business days  
807 following notification by the commission, the State Comptroller shall  
808 draw an order on the State Treasurer for payment of the general  
809 election campaign grant to said committee from the fund.

810       Sec. 17. (NEW) (a) For purposes of this section, expenditures made  
811 to aid or promote the success of both a candidate for nomination or  
812 election to the office of Governor and a candidate for nomination or  
813 election to the office of Lieutenant Governor jointly, shall be  
814 considered expenditures made to aid or promote the success of a  
815 candidate for nomination or election to the office of Governor. The  
816 party-endorsed candidate for nomination or election to the office of  
817 Lieutenant Governor and the party-endorsed candidate for nomination  
818 or election to the office of Governor shall be deemed to be aiding or  
819 promoting the success of both candidates jointly upon the earliest of  
820 the following: (1) The primary, whether held for the office of Governor,  
821 the office of Lieutenant Governor, or both; (2) if no primary is held for  
822 the office of Governor or Lieutenant Governor, the convention; or (3) a

823 declaration by the party-endorsed candidates that they shall campaign  
824 jointly. Any other candidate for nomination or election to the office of  
825 Lieutenant Governor shall be deemed to be aiding or promoting the  
826 success of such candidacy for the office of Lieutenant Governor and  
827 the success of a candidate for nomination or election to the office of  
828 Governor jointly upon a declaration by the candidates that they shall  
829 campaign jointly.

830 (b) The candidate committee formed to aid or promote the success  
831 of a candidate for nomination or election to the office of Lieutenant  
832 Governor, the candidate of which campaigns jointly with a candidate  
833 for nomination or election to the office of Governor, shall be dissolved  
834 as of the applicable date set forth in subsection (a) of this section. Not  
835 later than fifteen days after the applicable date set forth in subsection  
836 (a) of this section, the campaign treasurer of the candidate committee  
837 formed to aid or promote the success of said candidate for nomination  
838 or election to the office of Lieutenant Governor shall file a statement  
839 with the proper authority under section 9-333e of the general statutes,  
840 as amended by this act, identifying all contributions received or  
841 expenditures made by the committee since the previous statement and  
842 the balance on hand or deficit, as the case may be. Not later than thirty  
843 days after the applicable date set forth in subsection (a) of this section,  
844 (1) the campaign treasurer of a qualified candidate committee formed  
845 to aid or promote the success of said candidate for nomination or  
846 election to the office of Lieutenant Governor shall distribute any  
847 surplus to the Citizens' Election Fund, and (2) the campaign treasurer  
848 of a nonqualified candidate committee formed to aid or promote the  
849 success of said candidate for nomination or election to the office of  
850 Lieutenant Governor shall distribute such surplus in accordance with  
851 the provisions of subsection (e) of section 9-333j of the general statutes,  
852 as amended by this act.

853 Sec. 18. (NEW) (a) A qualified candidate committee may borrow  
854 moneys on behalf of a campaign from one or more financial  
855 institutions, as defined in section 36a-41 of the general statutes, in an  
856 aggregate amount not to exceed one thousand dollars. The amount

857 borrowed shall not constitute a qualifying contribution. No individual,  
858 political committee or party committee, except the candidate or, in a  
859 general election, the state central committee of a political party, shall  
860 endorse or guarantee such a loan in an aggregate amount in excess of  
861 five hundred dollars. An endorsement or guarantee of such a loan  
862 shall constitute a contribution by such individual or committee for so  
863 long as the loan is outstanding. The amount endorsed or guaranteed  
864 by such individual or committee shall cease to constitute a  
865 contribution upon repayment of the amount endorsed or guaranteed.

866 (b) All such loans shall be repaid in full prior to the date a candidate  
867 committee applies for the moneys from the Citizens' Election Fund  
868 pursuant to section 14 of this act. The candidate shall certify to the  
869 commission that such loans were repaid. A candidate who fails to  
870 repay such loans or fails to certify such repayment to the commission  
871 shall not be eligible to receive and shall not receive moneys from the  
872 fund.

873 Sec. 19. (NEW) (a) A qualified candidate committee which receives a  
874 grant from the Citizens' Election Fund pursuant to section 14 of this act  
875 and makes expenditures in excess of an expenditure limit set forth in  
876 section 12 of this act (1) shall repay to the fund the full amount of such  
877 grant, (2) shall not receive any additional moneys from the fund for the  
878 remainder of the election cycle, (3) shall be subject to civil penalties  
879 under section 9-7b of the general statutes, as amended by this act, and  
880 (4) shall be deemed to be a nonparticipating candidate for the purposes  
881 of sections 10 to 24, inclusive, of this act.

882 (b) A candidate whose candidate committee fails to return any  
883 surplus grant funds to the fund within ninety days after a primary or  
884 an election, whichever is applicable shall be subject to the penalties for  
885 larceny under sections 53a-122 to 53a-125b, inclusive, of the general  
886 statutes depending on the amount involved.

887 Sec. 20. (NEW) (a) Additional moneys from the Citizens' Election  
888 Fund shall be paid to a qualified candidate committee which received

889 moneys from the fund if the committee of an opposing candidate  
890 makes expenditures in excess of an expenditure limit set forth in  
891 section 12 of this act. Such additional moneys from the fund shall be  
892 paid to a qualified candidate committee which received moneys from  
893 the fund (1) regardless of whether the candidate committee which  
894 makes expenditures in excess of the applicable expenditure limit has  
895 received moneys from the fund, (2) in an amount equal to the greatest  
896 amount of expenditures in excess of the applicable expenditure limit  
897 which the committee of an opposing candidate has made expenditures,  
898 and (3) immediately following the commission's verification that the  
899 committee of an opposing candidate has made expenditures in excess  
900 of the applicable expenditure limit.

901 (b) If a nonparticipating candidate makes or incurs the obligation to  
902 make an excess expenditure more than twenty days before the day of a  
903 primary or election, the candidate shall file a declaration of excess  
904 expenditures not later than forty-eight hours after making or incurring  
905 the expenditure. If a nonparticipating candidate makes or incurs the  
906 obligation to make an excess expenditure twenty days or less before  
907 the day of a primary or election, the candidate shall file a declaration of  
908 excess expenditures not later than twenty-four hours after making or  
909 incurring the expenditure. The commission may determine whether  
910 any expenditure by a nonparticipating candidate shall be deemed an  
911 excess expenditure.

912 Sec. 21. (NEW) Upon the receipt of a report under subsection (e) of  
913 section 9-333n of the general statutes, as amended by this act, that an  
914 independent expenditure has been made or obligated to be made, with  
915 the intent to promote the defeat of a candidate whose candidate  
916 committee has received a grant under the Citizens' Election Program,  
917 the commission shall immediately notify the State Comptroller that  
918 additional money, equal to the amount of the independent  
919 expenditure, shall be paid to said candidate committee. Not later than  
920 two business days following notification by the commission, the State  
921 Comptroller shall draw an order on the State Treasurer for payment of  
922 such amount to said candidate committee from the Citizens' Election

923 Fund.

924       Sec. 22. (NEW) The campaign treasurer for each candidate for  
925 election to state office in 2006, or thereafter shall file campaign finance  
926 statements with the office of the Secretary of the State (1) according to  
927 the same schedule as required of a campaign treasurer of a candidate  
928 committee under section 9-333j of the general statutes until receiving  
929 contributions, receipts and grants totaling seventy-five per cent of the  
930 applicable expenditure limit for a general election campaign, as set  
931 forth in section 12 of this act, and (2) then, notwithstanding said  
932 schedule in said section 9-333j, on the second Thursday of each month  
933 between the beginning of the fourth month preceding the day of the  
934 election for said office and the beginning of the sixth week preceding  
935 the election and then on each Thursday until the day of the election.  
936 Said statements shall be prepared in the same manner as statements  
937 required by section 9-333j of the general statutes. If a campaign  
938 treasurer fails to file any statement required by this section within the  
939 time required, or with both the Secretary of the State and the  
940 commission, such campaign treasurer shall be subject to a civil penalty  
941 imposed by the State Elections Enforcement Commission, of not more  
942 than one thousand dollars for each such failure.

943       Sec. 23. (NEW) The Secretary of the State shall provide to each  
944 committee whose candidate has filed an affidavit under subsection (b)  
945 of section 10 of this act certifying that the candidate intends to abide by  
946 the applicable expenditure limits under the Citizens' Election Program,  
947 a copy of the centralized computer list of registered voters in the state  
948 established pursuant to the plan authorized under section 1 of special  
949 act 91-45. The Secretary of the State shall provide the copy in electronic  
950 format, free of charge.

951       Sec. 24. (NEW) (a) Not later than June 1, 2002, and annually  
952 thereafter, the State Elections Enforcement Commission shall issue a  
953 report on the status of the Citizens' Election Fund during the previous  
954 calendar year. Such report shall include the amount of moneys  
955 deposited in the fund, the sources of moneys received by category, the

956 number of contributions, the number of contributors, the amount of  
957 moneys expended by category, the recipients of moneys distributed  
958 from the fund and an accounting of the costs incurred by the  
959 commission in administering the provisions of sections 1 to 4,  
960 inclusive, and 6 to 24, inclusive, of this act. Not later than May 15, 2002,  
961 and annually thereafter, the Commissioner of Revenue Services shall  
962 submit to the commission the information in the possession of the  
963 commissioner which the commission needs to complete such report.

964 (b) Not later than January 1, 2006, and January first in any year  
965 thereafter in which an election for state offices or General Assembly  
966 offices is to be held, the commission shall determine whether the  
967 amount of moneys in the fund are sufficient to carry out the purposes  
968 of sections 1 to 4, inclusive, and 8 to 23, inclusive, of this act for said  
969 election in said year. If the commission determines that such amount is  
970 not sufficient to carry out such purposes, the commission shall, not  
971 later than three days after such later determination, (1) determine the  
972 percentage of the fund's obligations that can be met for said election,  
973 (2) recalculate the amount of each payment that a qualified candidate  
974 committee of a candidate for a state office is entitled to receive under  
975 section 14 of this act or that a candidate committee of a participating  
976 candidate for a General Assembly office is entitled to receive under  
977 section 9 of this act when a nonparticipating candidate exceeds the  
978 expenditure limit in section 8 of this act, by multiplying such  
979 percentage by the amount that the committee would have been  
980 entitled to receive under section 9 or 14 of this act if there were a  
981 sufficient amount of moneys in the fund, and (3) notify each applicant  
982 for moneys from the fund of such insufficiency, percentage and  
983 applicable recalculation. After a qualified candidate committee of a  
984 candidate for a state office first receives any such recalculated  
985 payment, the committee may resume accepting contributions and  
986 making expenditures from such contributions, provided no qualified  
987 candidate committee which receives such recalculated payments from  
988 the fund shall accept contributions in excess of the amount of moneys  
989 which the committee was entitled to receive from the fund but did not

990 receive from the fund. After a candidate committee of a candidate for a  
 991 General Assembly office first receives any such recalculated payment,  
 992 the committee may exceed the expenditure limit in section 8 of this act,  
 993 provided the sum of such excess spending and such recalculated  
 994 payment shall not exceed the total amount of any excess spending by  
 995 the nonparticipating candidate and any independent expenditures  
 996 made or obligated to be made with the intent to promote the defeat of  
 997 said candidate. The commission shall also issue a report on said  
 998 determination.

999 (c) The commission shall establish a reserve account in the fund. The  
 1000 first twenty-five thousand dollars deposited in the fund during any  
 1001 year shall be placed in said account. The commission shall use moneys  
 1002 in the reserve account only during the seven days preceding an  
 1003 election for payments to candidates (1) whose payments were reduced  
 1004 under subsection (b) of this section, or (2) who are entitled to funding  
 1005 to match, during said seven-day period, independent expenditures  
 1006 pursuant to section 9 or 21 of this act.

1007 Sec. 25. Section 9-333a of the general statutes is repealed and the  
 1008 following is substituted in lieu thereof:

1009 As used in this chapter and sections 1 to 4, inclusive, 6 to 24,  
 1010 inclusive, and 40 of this act:

1011 (1) "Committee" means a party committee, political committee or a  
 1012 candidate committee organized, as the case may be, for a single  
 1013 primary, election or referendum, or for ongoing political activities, to  
 1014 aid or promote the success or defeat of any political party, any one or  
 1015 more candidates for public office or the position of convention  
 1016 delegate or town committee member or any referendum question.

1017 (2) "Party committee" means a state central committee or a town  
 1018 committee. "Party committee" does not mean a party-affiliated or  
 1019 district, ward or borough committee which receives all of its funds  
 1020 from the state central committee of its party or from a single town  
 1021 committee with the same party affiliation. Any such committee so



1022 funded shall be construed to be a part of its state central or town  
1023 committee for purposes of this chapter and sections 1 to 4, inclusive, 6  
1024 to 24, inclusive, and 40 of this act.

1025 (3) "Political committee" means (A) a committee organized by a  
1026 business entity or organization, (B) persons other than individuals, or  
1027 two or more individuals organized or acting jointly conducting their  
1028 activities in or outside the state, (C) a committee established by a  
1029 candidate to determine the particular public office to which [he] such  
1030 candidate shall seek nomination or election, and referred to in this  
1031 chapter as an exploratory committee, or (D) a committee established by  
1032 or on behalf of a slate of candidates in a primary for the position of  
1033 convention delegate, but does not mean a candidate committee or a  
1034 party committee.

1035 (4) "Candidate committee" means any committee designated by a  
1036 single candidate, or established with the consent, authorization or  
1037 cooperation of a candidate, for the purpose of a single primary or  
1038 election and to aid or promote [his] such candidate's candidacy alone  
1039 for a particular public office or the position of town committee  
1040 member, but does not mean a political committee or a party  
1041 committee.

1042 (5) "National committee" means the organization which according to  
1043 the bylaws of a political party is responsible for the day-to-day  
1044 operation of the party at the national level.

1045 (6) "Organization" means all labor organizations, (A) as defined in  
1046 the Labor-Management Reporting and Disclosure Act of 1959, as from  
1047 time to time amended, or (B) as defined in subdivision (9) of section  
1048 31-101, employee organizations, as defined in subsection (d) of section  
1049 5-270 and subdivision (6) of section 7-467, bargaining representative  
1050 organizations for teachers, any local, state or national organization, to  
1051 which a labor organization pays membership or per capita fees, based  
1052 upon its affiliation or membership, and trade or professional  
1053 associations which receive their funds exclusively from membership

1054 dues, whether organized in or outside of this state, but does not mean  
1055 a candidate committee, party committee or a political committee.

1056 (7) "Business entity" means the following, whether organized in or  
1057 outside of this state: Stock corporations, banks, insurance companies,  
1058 business associations, bankers associations, insurance associations,  
1059 trade or professional associations which receive funds from  
1060 membership dues and other sources, partnerships, joint ventures,  
1061 private foundations, as defined in Section 509 of the Internal Revenue  
1062 Code of 1986, or any subsequent corresponding internal revenue code  
1063 of the United States, as from time to time amended; trusts or estates;  
1064 corporations organized under sections 38a-175 to 38a-192, inclusive,  
1065 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and  
1066 chapters 594 to 597, inclusive; cooperatives, and any other association,  
1067 organization or entity which is engaged in the operation of a business  
1068 or profit-making activity; but does not include professional service  
1069 corporations organized under chapter 594a and owned by a single  
1070 individual, nonstock corporations which are not engaged in business  
1071 or profit-making activity, organizations, as defined in subdivision (6)  
1072 of this section, candidate committees, party committees and political  
1073 committees as defined in this section. For purposes of this chapter,  
1074 corporations which are component members of a controlled group of  
1075 corporations, as those terms are defined in Section 1563 of the Internal  
1076 Revenue Code of 1986, or any subsequent corresponding internal  
1077 revenue code of the United States, as from time to time amended, shall  
1078 be deemed to be one corporation.

1079 (8) "Individual" means a human being, a sole proprietorship, or a  
1080 professional service corporation organized under chapter 594a and  
1081 owned by a single human being.

1082 (9) "Person" means an individual, committee, firm, partnership,  
1083 organization, association, syndicate, company trust, corporation,  
1084 limited liability company or any other legal entity of any kind but does  
1085 not mean the state or any political or administrative subdivision of the  
1086 state.

1087 (10) "Candidate" means an individual who seeks nomination for  
1088 election or election to public office whether or not such individual is  
1089 elected, and for the purposes of this chapter and sections 1 to 4,  
1090 inclusive, 6 to 24, inclusive, and 40 of this act an individual shall be  
1091 deemed to seek nomination for election or election if [he] such  
1092 individual has (A) been endorsed by a party or become eligible for a  
1093 position on the ballot at an election or primary, or (B) solicited or  
1094 received contributions or made expenditures or given [his] such  
1095 individual's consent to any other person to solicit or receive  
1096 contributions or make expenditures with the intent to bring about [his]  
1097 such individual's nomination for election or election to any such office.  
1098 "Candidate" also means a slate of candidates which is to appear on the  
1099 ballot in a primary for the position of convention delegate. For the  
1100 purposes of sections 9-333 to 9-333l, inclusive, as amended by this act,  
1101 and section 9-333w, "candidate" also means an individual who is a  
1102 candidate in a primary for town committee members.

1103 (11) "Campaign treasurer" means the individual appointed by a  
1104 candidate or by the [chairman] chairperson of a party committee or a  
1105 political committee to receive and disburse funds on behalf of the  
1106 candidate or committee.

1107 (12) "Deputy campaign treasurer" means the individual appointed  
1108 by the candidate or by the [chairman] chairperson of a committee to  
1109 serve in the capacity of the campaign treasurer if the campaign  
1110 treasurer is unable to perform [his] the campaign treasurer's duties.

1111 (13) "Solicitor" means an individual appointed by a campaign  
1112 treasurer of a committee to receive, but not to disburse, funds on  
1113 behalf of the committee.

1114 (14) "Referendum question" means a question to be voted upon at  
1115 any election or referendum, including a proposed constitutional  
1116 amendment.

1117 (15) "Lobbyist" means a lobbyist, as defined in subsection (l) of  
1118 section 1-91.

1119 (16) "Business with which he is associated" means any business in  
1120 which the contributor is a director, officer, owner, limited or general  
1121 partner or holder of stock constituting five per cent or more of the total  
1122 outstanding stock of any class. Officer refers only to the president,  
1123 executive or senior vice-president or treasurer of such business.

1124 (17) "Independent expenditure" means an expenditure that is made  
1125 without the consent, knowing participation, or consultation of, a  
1126 candidate or agent of the candidate committee. "Independent  
1127 expenditure" does not include an expenditure (A) if there is any  
1128 coordination or direction with respect to the expenditure between the  
1129 candidate or the treasurer, deputy treasurer or [chairman] chairperson  
1130 of [his] such candidate committee and the person making the  
1131 expenditure, or (B) if, during the same election cycle, the individual  
1132 making the expenditure serves or has served as the treasurer, deputy  
1133 treasurer or [chairman] chairperson of the candidate committee.

1134 (18) "Federal account" means a depository account that is subject to  
1135 the disclosure and contribution limits provided under the Federal  
1136 Election Campaign Act of 1971, as amended from time to time.

1137 (19) "Public funds" means funds belonging to, or under the control  
1138 of, the state or a political subdivision of the state.

1139 Sec. 26. Section 9-333b of the general statutes is repealed and the  
1140 following is substituted in lieu thereof:

1141 (a) As used in this chapter and sections 1 to 4, inclusive, 6 to 24,  
1142 inclusive, and 40 of this act, "contribution" means:

1143 (1) Any gift, subscription, loan, advance, payment or deposit of  
1144 money or anything of value, made for the purpose of influencing the  
1145 nomination for election, or election, of any person or for the purpose of  
1146 aiding or promoting the success or defeat of any referendum question  
1147 or on behalf of any political party;

1148 (2) A written contract, promise or agreement to make a contribution

1149 for any such purpose;

1150 (3) The payment by any person, other than a candidate or campaign  
1151 treasurer, of compensation for the personal services of any other  
1152 person which are rendered without charge to a committee or candidate  
1153 for any such purpose;

1154 (4) An expenditure when made by a person with the cooperation of,  
1155 or in consultation with, any candidate, candidate committee or  
1156 candidate's agent or which is made in concert with, or at the request or  
1157 suggestion of, any candidate, candidate committee or candidate's  
1158 agent; or

1159 (5) Funds received by a committee which are transferred from  
1160 another committee or other source for any such purpose.

1161 (b) As used in this chapter and sections 1 to 4, inclusive, 6 to 24,  
1162 inclusive, and 40 of this act, "contribution" does not mean:

1163 (1) A loan of money made in the ordinary course of business by a  
1164 national or state bank;

1165 (2) Any communication made by a corporation, organization or  
1166 association to its members, owners, stockholders, executive or  
1167 administrative personnel, or their families;

1168 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
1169 by any corporation, organization or association aimed at its members,  
1170 owners, stockholders, executive or administrative personnel, or their  
1171 families;

1172 (4) Uncompensated services provided by individuals volunteering  
1173 their time;

1174 (5) The use of real or personal property, and the cost of invitations,  
1175 food or beverages, voluntarily provided by an individual to a  
1176 candidate or on behalf of a state central or town committee, in  
1177 rendering voluntary personal services for candidate or party-related

1178 activities at the individual's residence, to the extent that the cumulative  
1179 value of the invitations, food or beverages provided by the individual  
1180 on behalf of any single candidate does not exceed two hundred dollars  
1181 with respect to any single election, and on behalf of all state central  
1182 and town committees does not exceed four hundred dollars in any  
1183 calendar year;

1184 (6) The sale of food or beverage for use in a candidate's campaign or  
1185 for use by a state central or town committee at a discount, if the charge  
1186 is not less than the cost to the vendor, to the extent that the cumulative  
1187 value of the discount given to or on behalf of any single candidate does  
1188 not exceed two hundred dollars with respect to any single election,  
1189 and on behalf of all state central and town committees does not exceed  
1190 four hundred dollars in a calendar year;

1191 (7) Any unreimbursed payment for travel expenses made by an  
1192 individual who on [his] said individual's own behalf volunteers [his]  
1193 said individual's personal services to any single candidate to the extent  
1194 the cumulative value does not exceed two hundred dollars with  
1195 respect to any single election, and on behalf of all state central or town  
1196 committees does not exceed four hundred dollars in a calendar year;

1197 (8) The payment, by a party committee, political committee or an  
1198 individual, of the costs of preparation, display, mailing or other  
1199 distribution incurred by the committee or individual with respect to  
1200 any printed slate card, sample ballot or other printed list containing  
1201 the names of three or more candidates;

1202 (9) The donation of any item of personal property by an individual  
1203 to a committee for a fund-raising affair, including a tag sale or auction,  
1204 or the purchase by an individual of any such item at such an affair, to  
1205 the extent that the cumulative value donated or purchased does not  
1206 exceed fifty dollars;

1207 (10) The purchase of advertising space which clearly identifies the  
1208 purchaser, in a program for a fund-raising affair, provided the  
1209 cumulative purchase of such space does not exceed two hundred fifty

1210 dollars from any single candidate or [his] committee of any single  
1211 candidate with respect to any single election campaign or two hundred  
1212 fifty dollars from any single party committee or other political  
1213 committee in any calendar year if the purchaser is a business entity or  
1214 fifty dollars for purchases by any other person;

1215 (11) The payment of money by a candidate to [his] said candidate's  
1216 candidate committee;

1217 (12) The donation of goods or services by a business entity to a  
1218 committee for a fund-raising affair, including a tag sale or auction, to  
1219 the extent that the cumulative value donated does not exceed one  
1220 hundred dollars;

1221 (13) The advance of a security deposit by an individual to a  
1222 telephone company, as defined in section 16-1, for telecommunications  
1223 service for a committee, provided the security deposit is refunded to  
1224 the individual; or

1225 (14) The provision of facilities, equipment, technical and managerial  
1226 support, and broadcast time by a community antenna television  
1227 company, as defined in section 16-1, for community access  
1228 programming pursuant to section 16-331a, unless (A) the major  
1229 purpose of providing such facilities, equipment, support and time is to  
1230 influence the nomination or election of a candidate, or (B) such  
1231 facilities, equipment, support and time are provided on behalf of a  
1232 political party.

1233 Sec. 27. Subsection (a) of section 9-333e of the general statutes is  
1234 repealed and the following is substituted in lieu thereof:

1235 (a) Statements filed by party committees, political committees  
1236 formed to aid or promote the success or defeat of a referendum  
1237 question proposing a constitutional convention, constitutional  
1238 amendment or revision of the constitution, individual lobbyists, and  
1239 those political committees and candidate committees formed to aid or  
1240 promote the success or defeat of any candidate for the office of

1241 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
1242 State Comptroller, Attorney General, judge of probate and members of  
1243 the General Assembly, shall be filed with the office of the Secretary of  
1244 the State. On and after January 1, 2006, a copy of each statement filed  
1245 by a candidate committee formed to aid or promote the success of any  
1246 candidate for the office of Governor, Lieutenant Governor, Secretary of  
1247 the State, State Treasurer, State Comptroller, Attorney General, state  
1248 senator or state representative shall be filed at the same time with the  
1249 commission. A copy of each statement filed by a town committee shall  
1250 be filed at the same time with the town clerk of the municipality in  
1251 which the committee is situated. A political committee formed for a  
1252 slate of candidates in a primary for the position of convention delegate  
1253 shall file statements with both the Secretary of the State and the town  
1254 clerk of the municipality in which the primary is to be held.

1255 Sec. 28. Subsection (a) of section 9-333m of the general statutes is  
1256 repealed and the following is substituted in lieu thereof:

1257 (a) No individual shall make a contribution or contributions to, for  
1258 the benefit of, or pursuant to the authorization or request of, a  
1259 candidate or a committee supporting or opposing any candidate's  
1260 campaign for nomination at a primary, or any candidate's campaign  
1261 for election, to the office of (1) Governor, in excess of two thousand  
1262 five hundred dollars for a primary or an election held in 2002, and in  
1263 excess of one thousand dollars for a primary or an election held in  
1264 2006, or thereafter; (2) Lieutenant Governor, Secretary of the State,  
1265 State Treasurer, State Comptroller or Attorney General, in excess of  
1266 one thousand five hundred dollars for a primary or an election held in  
1267 2002, and in excess of seven hundred fifty dollars for a primary or an  
1268 election held in 2006, or thereafter; (3) chief executive officer of a town,  
1269 city or borough, in excess of one thousand dollars; (4) state senator or  
1270 probate judge, in excess of five hundred dollars; or (5) state  
1271 representative or any other office of a municipality not [previously]  
1272 specifically included in this subsection, in excess of two hundred fifty  
1273 dollars. [The] If the individual making any such contribution or  
1274 contributions to a candidate for nomination or election to the office of



1275 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
 1276 State Comptroller, Attorney General, state senator or state  
 1277 representative in 2006, or thereafter, is a lobbyist, the limits imposed  
 1278 by this subsection shall be reduced by fifty per cent with regard to  
 1279 such contributions. Except for contributions to, or for the benefit of, a  
 1280 candidate's campaign in 2006, or thereafter, for the office of Governor,  
 1281 Lieutenant Governor, Secretary of the State, State Treasurer, State  
 1282 Comptroller or Attorney General, the limits imposed by this  
 1283 subsection shall be applied separately to primaries and elections.

1284 Sec. 29. Subsection (e) of section 9-333n of the general statutes is  
 1285 repealed and the following is substituted in lieu thereof:

1286 (e) (1) Any individual acting alone may, independent of any  
 1287 candidate, agent of the candidate, or committee, make unlimited  
 1288 expenditures to promote the success or defeat of any candidate's  
 1289 campaign for election, or nomination at a primary, to any office or  
 1290 position. [ provided any individual who makes an independent  
 1291 expenditure or expenditures in excess of one thousand dollars to  
 1292 promote the success or defeat of any candidate's campaign for election,  
 1293 or nomination at a primary, to any such office or position shall file  
 1294 statements according to the same schedule and in the same manner as  
 1295 is required of a campaign treasurer of a candidate committee under  
 1296 section 9-333j.]

1297 (2) Any person who, on or after July 1, 2003, makes or obligates to  
 1298 make an independent expenditure, as defined in section 9-333a,  
 1299 intended to promote the success or defeat of a candidate for public  
 1300 office, which exceeds one thousand dollars, in the aggregate, during a  
 1301 primary campaign or a general election campaign, shall file a report of  
 1302 such independent expenditure to the State Elections Enforcement  
 1303 Commission. The report shall be in the same form as statements filed  
 1304 under section 9-333j. If the person makes or obligates to make such  
 1305 independent expenditure more than twenty days before the day of a  
 1306 primary or election, the person shall file such report not later than  
 1307 forty-eight hours after such payment or obligation. If the person makes

1308 or obligates to make such independent expenditure twenty days or less  
 1309 before the day of a primary or election, the person shall file such report  
 1310 not later than twenty-four hours after such payment or obligation. The  
 1311 report shall be filed under penalty of false statement.

1312 (3) The independent expenditure report in subdivision (2) of this  
 1313 subsection shall include a statement (A) identifying the candidate for  
 1314 whom the independent expenditure is intended to promote the success  
 1315 or defeat, (B) affirming that the expenditure is totally independent and  
 1316 involves no cooperation or coordination with or direction from a  
 1317 candidate or a political party, and (C) affirming that the individual  
 1318 making the expenditure has not served or does not serve as treasurer,  
 1319 deputy treasurer or chairperson of the candidate committee during the  
 1320 same election cycle.

1321 (4) Any person may file a complaint with the commission upon the  
 1322 belief that (A) any such independent expenditure report or statement  
 1323 is false, or (B) any person who is required to file an independent  
 1324 expenditure report under subdivision (2) of this subsection has failed  
 1325 to do so. The commission shall make a prompt determination on such  
 1326 a complaint.

1327 Sec. 30. Subsection (d) of section 9-333o of the general statutes is  
 1328 repealed and the following is substituted in lieu thereof:

1329 (d) A political committee organized by a business entity shall not  
 1330 make a contribution or contributions to or for the benefit of any  
 1331 candidate's campaign for nomination at a primary or any candidate's  
 1332 campaign for election to the office of: (1) Governor, in excess of five  
 1333 thousand dollars for a primary or an election held in 2002, and in  
 1334 excess of three thousand five hundred dollars for a primary or an  
 1335 election held in 2006, or thereafter; (2) Lieutenant Governor, Secretary  
 1336 of the State, State Treasurer, State Comptroller or Attorney General, in  
 1337 excess of three thousand dollars for a primary or an election held in  
 1338 2002, and in excess of two thousand dollars for a primary or an  
 1339 election held in 2006, or thereafter; (3) state senator, probate judge or

1340 chief executive officer of a town, city or borough, in excess of one  
1341 thousand dollars; (4) state representative, in excess of five hundred  
1342 dollars; [or] (5) any other office of a municipality not included in  
1343 subdivision (3) of this subsection, in excess of two hundred fifty  
1344 dollars; or (6) an exploratory committee, in excess of two hundred fifty  
1345 dollars. [The] Except for contributions to, or for the benefit of, a  
1346 candidate's campaign in 2006, or thereafter, for the office of Governor,  
1347 Lieutenant Governor, Secretary of the State, State Treasurer, State  
1348 Comptroller or Attorney General, the limits imposed by this  
1349 subsection shall apply separately to primaries and elections. [and  
1350 contributions] Contributions by any such committee to candidates  
1351 designated in this subsection shall not exceed one hundred thousand  
1352 dollars in the aggregate for any single election and primary  
1353 preliminary thereto. Contributions to such committees shall also be  
1354 subject to the provisions of section 9-333t, as amended by this act, in  
1355 the case of committees formed for ongoing political activity or section  
1356 9-333u, as amended by this act, in the case of committees formed for a  
1357 single election or primary.

1358 Sec. 31. Section 9-333q of the general statutes is repealed and the  
1359 following is substituted in lieu thereof:

1360 (a) No political committee established by an organization shall  
1361 make a contribution or contributions to, or for the benefit of, any  
1362 candidate's campaign for nomination at a primary or for election to the  
1363 office of: (1) Governor, in excess of two thousand five hundred dollars;  
1364 (2) Lieutenant Governor, Secretary of the State, State Treasurer, State  
1365 Comptroller or Attorney General, in excess of one thousand five  
1366 hundred dollars; (3) chief executive officer of a town, city or borough,  
1367 in excess of one thousand dollars; (4) state senator or probate judge, in  
1368 excess of five hundred dollars; or (5) state representative or any other  
1369 office of a municipality not [previously] specifically included in this  
1370 subsection, in excess of two hundred fifty dollars.

1371 (b) No such committee shall make a contribution or contributions to,  
1372 or for the benefit of, an exploratory committee, in excess of two

1373 hundred fifty dollars. Any such committee may make unlimited  
1374 contributions to a political committee formed solely to aid or promote  
1375 the success or defeat of a referendum question.

1376 (c) [The] Except for contributions to, or for the benefit of, a  
1377 candidate's campaign in 2006, or thereafter, for the office of Governor,  
1378 Lieutenant Governor, Secretary of the State, State Treasurer, State  
1379 Comptroller or Attorney General, the limits imposed by subsection (a)  
1380 of this section shall apply separately to primaries and elections. [and  
1381 no] No such committee shall make contributions to the candidates  
1382 designated in this section which in the aggregate exceed fifty thousand  
1383 dollars for any single election and primary preliminary thereto.

1384 (d) No political committee established by an organization shall  
1385 make contributions in any one calendar year to, or for the benefit of, (1)  
1386 the state central committee of a political party, in excess of five  
1387 thousand dollars; (2) a town committee, in excess of one thousand  
1388 dollars; or (3) any political committee, other than an exploratory  
1389 committee or a committee formed solely to aid or promote the success  
1390 or defeat of a referendum question, in excess of two thousand dollars.

1391 (e) No political committee established by an organization shall make  
1392 contributions to the committees designated in subsection (d) of this  
1393 section, which in the aggregate exceed fifteen thousand dollars in any  
1394 one calendar year. Contributions to a political committee established  
1395 by an organization shall also be subject to the provisions of section 9-  
1396 333t, as amended by this act, in the case of a committee formed for  
1397 ongoing political activity or section 9-333u, as amended by this act, in  
1398 the case of a committee formed for a single election or primary.

1399 Sec. 32. Section 9-333r of the general statutes is repealed and the  
1400 following is substituted in lieu thereof:

1401 (a) A candidate committee shall not make contributions to, or for the  
1402 benefit of, (1) a party committee, (2) a political committee, except to a  
1403 political committee which has been formed for a slate of convention  
1404 delegates in a primary, (3) a committee of a candidate for federal or

1405 out-of-state office, (4) a national committee, or (5) another candidate  
1406 committee except that a pro rata sharing of certain expenses in  
1407 accordance with subsection (b) of section 9-333l shall be permitted.

1408 (b) A candidate committee shall not receive contributions from any  
1409 national committee or from a committee of a candidate for federal or  
1410 out-of-state office.

1411 (c) A candidate committee established by a candidate for  
1412 nomination or election to the office of Governor, Lieutenant Governor,  
1413 Secretary of the State, State Treasurer, State Comptroller, Attorney  
1414 General, state senator or state representative shall not receive more  
1415 than twenty per cent of its aggregate amount of receipts from  
1416 purchases of advertising space in programs for fund-raising affairs  
1417 under subdivision (10) of subsection (b) of section 9-333b, as of the  
1418 dates that the campaign treasurer of the candidate committee files the  
1419 statement that is required to be filed within forty-five days following  
1420 an election, under subsection (a) of section 9-333j, and any subsequent  
1421 statements required under section 9-333j.

1422 Sec. 33. Section 9-333t of the general statutes is repealed and the  
1423 following is substituted in lieu thereof:

1424 (a) A political committee organized for ongoing political activities  
1425 may make unlimited contributions to, or for the benefit of, a party  
1426 committee; any national committee of a political party; a candidate  
1427 committee; or a committee of a candidate for federal or out-of-state  
1428 office, except that a political committee organized for ongoing political  
1429 activities, other than a legislative caucus committee, shall not make  
1430 contributions in excess of fifteen thousand dollars to a candidate  
1431 committee established by a candidate for nomination or election to the  
1432 office of Governor, Lieutenant Governor, Secretary of the State, State  
1433 Treasurer, State Comptroller, Attorney General, state senator or state  
1434 representative in 2006, or thereafter. No such political committee shall  
1435 make a contribution or contributions in excess of two thousand dollars  
1436 to another political committee in any calendar year except that a

1437 political committee organized by a business entity may make  
1438 unlimited contributions to, or for the benefit of, another political  
1439 committee organized by a business entity. No political committee  
1440 organized for ongoing political activities shall make a contribution in  
1441 excess of two hundred fifty dollars to an exploratory committee. If  
1442 such an ongoing committee is established by an organization or a  
1443 business entity, its contributions shall be subject to the limits imposed  
1444 by sections 9-333o to 9-333q, inclusive. A political committee organized  
1445 for ongoing political activities may make contributions to a charitable  
1446 organization which is a tax-exempt organization under Section  
1447 501(c)(3) of the Internal Revenue Code, as from time to time amended,  
1448 or make memorial contributions. As used in this subsection,  
1449 "legislative caucus committee" means a single committee designated  
1450 by the majority of the members of a political party who are also state  
1451 representatives or state senators, which designation is certified by the  
1452 chairperson of the committee on the registration filed with the  
1453 Secretary of the State.

1454 (b) A political committee organized for ongoing political activities  
1455 may receive contributions from the federal account of a national  
1456 committee of a political party, but may not receive contributions from  
1457 any other account of a national committee of a political party or from a  
1458 committee of a candidate for federal or out-of-state office.

1459 (c) No individual or individuals may organize and administer more  
1460 than one political committee organized for ongoing political activities  
1461 at the same time, except that an individual or individuals may  
1462 administer two such committees for not more than three months if the  
1463 committee being terminated does not receive any contributions during  
1464 said three-month period.

1465 Sec. 34. Section 9-333u of the general statutes is repealed and the  
1466 following is substituted in lieu thereof:

1467 (a) A political committee established for a single primary or election  
1468 may make unlimited contributions to, or for the benefit of, a party

1469 committee or a candidate committee, but no such political committee  
1470 shall make contributions to a national committee, or a committee of a  
1471 candidate for federal or out-of-state office, except that a political  
1472 committee established for a single primary or election, other than a  
1473 legislative caucus committee, shall not make contributions in excess of  
1474 fifteen thousand dollars to a candidate committee established by a  
1475 candidate for nomination or election to the office of Governor,  
1476 Lieutenant Governor, Secretary of the State, State Treasurer, State  
1477 Comptroller, Attorney General, state senator or state representative in  
1478 2006, or thereafter. If such a political committee is established by an  
1479 organization or a business entity, its contributions shall also be subject  
1480 to the limitations imposed by sections 9-333o to 9-333q, inclusive. No  
1481 political committee formed for a single election or primary shall, with  
1482 respect to such election or primary make a contribution or  
1483 contributions in excess of two thousand dollars to another political  
1484 committee, provided no such political committee shall make a  
1485 contribution in excess of two hundred fifty dollars to an exploratory  
1486 committee. As used in this subsection, "legislative caucus committee"  
1487 means a single committee designated by the majority of the members  
1488 of a political party who are also state representatives or state senators,  
1489 which designation is certified by the chairperson of the committee on  
1490 the registration filed with the Secretary of the State.

1491 (b) A political committee established for a single primary or election  
1492 shall not receive contributions from a committee of a candidate for  
1493 federal or out-of-state office or from a national committee.

1494 (c) No individual or individuals may organize and administer more  
1495 than one political committee established for a single primary or  
1496 election at the same time, except that an individual or individuals may  
1497 administer two such committees for not more than three months if the  
1498 committee being terminated does not receive any contributions during  
1499 said three-month period.

1500 Sec. 35. Subsection (b) of section 9-333y of the general statutes is  
1501 repealed and the following is substituted in lieu thereof:

1502 (b) If any campaign treasurer or lobbyist fails to file the statements  
1503 required by section 9-333j or subsection (g) of section 9-333l, as the case  
1504 may be, within the time required, [he] the campaign treasurer or  
1505 lobbyist shall pay a late filing fee of fifty-five dollars. In the case of a  
1506 statement that is required to be filed with the Secretary of the State, the  
1507 secretary shall, within ten days after the filing deadline, notify by  
1508 certified mail, return receipt requested, the person required to file that,  
1509 if such statement is not filed within twenty-one days after the deadline,  
1510 the person is in violation of said section or subsection. If the person  
1511 does not file such statement within twenty-one days after the deadline,  
1512 the secretary shall notify the State Elections Enforcement Commission  
1513 within twenty-eight days after the deadline. In the case of a copy of a  
1514 statement that is required to be filed with the State Elections  
1515 Enforcement Commission, the commission shall, not later than ten  
1516 days after the filing deadline, notify by certified mail, return receipt  
1517 requested, the person required to file that if such statement is not filed  
1518 within twenty-one days after the deadline the person is in violation of  
1519 section 9-333j. In the case of a statement that is required to be filed with  
1520 a town clerk, the town clerk shall forthwith after the filing deadline  
1521 notify by certified mail, return receipt requested, the person required  
1522 to file that, if such statement is not filed within seven days after  
1523 receiving such notice, the town clerk shall notify the State Elections  
1524 Enforcement Commission that the person is in violation of said section  
1525 or subsection. The penalty for any violation of said section or  
1526 subsection shall be a fine of not more than one thousand dollars or  
1527 imprisonment for not more than one year or both.

1528 Sec. 36. Section 9-7b of the general statutes is repealed and the  
1529 following is substituted in lieu thereof:

1530 (a) The State Elections Enforcement Commission shall have the  
1531 following duties and powers:

1532 (1) To make investigations on its own initiative or with respect to  
1533 statements filed with the commission by the Secretary of the State or  
1534 any town clerk, or upon written complaint under oath by any



1535 individual, with respect to alleged violations of any provision of the  
1536 general statutes or sections 1 to 4, inclusive, 6 to 24, inclusive, and 40 of  
1537 this act, relating to any election or referendum, any primary held  
1538 pursuant to section 9-423, 9-424, 9-425 or 9-464 or any primary held  
1539 pursuant to a special act, and to hold hearings when the commission  
1540 deems necessary to investigate violations of any provisions of the  
1541 general statutes or sections 1 to 4, inclusive, 6 to 24, inclusive, and 40 of  
1542 this act, relating to any such election, primary or referendum, and for  
1543 the purpose of such hearings the commission may administer oaths,  
1544 examine witnesses and receive oral and documentary evidence, and  
1545 shall have the power to subpoena witnesses under procedural rules the  
1546 commission shall adopt, to compel their attendance and to require the  
1547 production for examination of any books and papers which the  
1548 commission deems relevant to any matter under investigation or in  
1549 question. In connection with its investigation of any alleged violation  
1550 of any provision of chapter 145, or of any provision of section 9-359 or  
1551 section 9-359a, the commission shall also have the power to subpoena  
1552 any municipal clerk and to require the production for examination of  
1553 any absentee ballot, inner and outer envelope from which any such  
1554 ballot has been removed, depository envelope containing any such  
1555 ballot or inner or outer envelope as provided in sections 9-150a and 9-  
1556 150b and any other record, form or document as provided in section 9-  
1557 150b, in connection with the election, primary or referendum to which  
1558 the investigation relates. In case of a refusal to comply with any  
1559 subpoena issued pursuant to this subsection or to testify with respect  
1560 to any matter upon which that person may be lawfully interrogated,  
1561 the superior court for the judicial district of Hartford, on application of  
1562 the commission, may issue an order requiring such person to comply  
1563 with such subpoena and to testify; failure to obey any such order of the  
1564 court may be punished by the court as a contempt thereof. In any  
1565 matter under investigation which concerns the operation or inspection  
1566 of or outcome recorded on any voting machine, the commission may  
1567 issue an order to the municipal clerk to impound such machine until  
1568 the investigation is completed;

1569 (2) To levy a civil penalty not to exceed (A) two thousand dollars  
1570 per offense against any person the commission finds to be in violation  
1571 of any provision of chapter 145, part V of chapter 146, part I of chapter  
1572 147, chapter 148, section 9-12, subsection (a) of section 9-17, section 9-  
1573 19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-  
1574 23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-43, 9-  
1575 50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-409, 9-410, 9-412, 9-436, 9-  
1576 436a, 9-453e to 9-453h, inclusive, 9-453k, [or] 9-453o, or sections 1 to 4,  
1577 inclusive, 6 to 24, inclusive, and 40 of this act, or (B) two thousand  
1578 dollars per offense or twice the amount of any improper payment or  
1579 contribution, whichever is greater, against any person the commission  
1580 finds to be in violation of any provision of chapter 150. The  
1581 commission may levy a civil penalty against any person under  
1582 subparagraph (A) or (B) of this subdivision only after giving the  
1583 person an opportunity to be heard at a hearing conducted in  
1584 accordance with sections 4-176e to 4-184, inclusive. In the case of  
1585 failure to pay any such penalty levied pursuant to this subsection  
1586 [within] not later than thirty days of written notice sent by certified or  
1587 registered mail to such person, the superior court for the judicial  
1588 district of Hartford, on application of the commission, may issue an  
1589 order requiring such person to pay the penalty imposed and such  
1590 court costs, sheriff's fees and attorney's fees incurred by the  
1591 commission as the court may determine. Any civil penalties paid,  
1592 collected or recovered under subparagraph (B) of this subdivision for a  
1593 violation of any provision of chapter 150 applying to the office of the  
1594 Treasurer shall be deposited on a pro rata basis in any trust funds, as  
1595 defined in section 3-13c, affected by such violation;

1596 (3) (A) To issue an order requiring any person the commission finds  
1597 to have received any contribution or payment which is prohibited by  
1598 any of the provisions of chapter 150, after an opportunity to be heard  
1599 at a hearing conducted in accordance with the provisions of sections 4-  
1600 176e to 4-184, inclusive, to return such contribution or payment to the  
1601 donor or payor, or to remit such contribution or payment to the state  
1602 for deposit in the General Fund, whichever is deemed necessary to

1603 effectuate the purposes of chapter 150;

1604 (B) To issue an order when the commission finds that an intentional  
1605 violation of any provision of chapter 150 has been committed, after an  
1606 opportunity to be heard at a hearing conducted in accordance with  
1607 sections 4-176e to 4-184, inclusive, which order may contain one or  
1608 more of the following sanctions: (i) Removal of a campaign treasurer,  
1609 deputy campaign treasurer or solicitor; or (ii) prohibition on serving as  
1610 a campaign treasurer, deputy campaign treasurer or solicitor, for a  
1611 period not to exceed four years;

1612 (C) To issue an order revoking any person's eligibility to be  
1613 appointed or serve as an election, primary or referendum official or  
1614 unofficial checker or in any capacity at the polls on the day of an  
1615 election, primary or referendum, when the commission finds such  
1616 person has intentionally violated any provision of the general statutes  
1617 relating to the conduct of an election, primary or referendum, after an  
1618 opportunity to be heard at a hearing conducted in accordance with  
1619 sections 4-176e to 4-184, inclusive;

1620 (4) To issue an order to a candidate committee which receives  
1621 moneys from the Citizens' Election Fund pursuant to sections 1 to 4,  
1622 inclusive, 6 to 24, inclusive, and 40 of this act, to comply with the  
1623 provisions of said sections 1 to 4, inclusive, 6 to 24, inclusive, and 40,  
1624 after an opportunity to be heard at a hearing conducted in accordance  
1625 with the provisions of sections 4-176e to 4-184, inclusive;

1626 [(4)] (5) To inspect or audit at any reasonable time and upon  
1627 reasonable notice the accounts or records of any campaign treasurer or  
1628 principal campaign treasurer, as required by chapter 150 and sections 1  
1629 to 4, inclusive, 6 to 24, inclusive, and 40 of this act, and to audit any  
1630 such election, primary or referendum held within the state; provided,  
1631 it shall not audit any caucus, as defined in subdivision (1) of section 9-  
1632 372;

1633 [(5)] (6) To attempt to secure voluntary compliance, [by informal  
1634 methods of conference, conciliation and persuasion,] with any

1635 provision of chapters 149 to 153, inclusive, or any other provision of  
1636 the general statutes relating to any such election, primary or  
1637 referendum by informal methods of conference, conciliation and  
1638 persuasion;

1639 [(6)] (7) To consult with the Secretary of the State, the Chief State's  
1640 Attorney or the Attorney General on any matter which the commission  
1641 deems appropriate;

1642 [(7)] (8) To refer to the Chief State's Attorney evidence bearing upon  
1643 violation of any provision of chapters 149 to 153, inclusive, or any  
1644 other provision of the general statutes or sections 1 to 4, inclusive, 6 to  
1645 24, inclusive, and 40 of this act, pertaining to or relating to any such  
1646 election, primary or referendum;

1647 [(8)] (9) To refer to the Attorney General evidence for injunctive  
1648 relief and any other ancillary equitable relief in the circumstances of  
1649 subdivision [(7)] (8) of this [section] subsection. Nothing in this  
1650 subdivision shall preclude a person who claims that [he] such person is  
1651 aggrieved by a violation of any provision of chapter 152 or any other  
1652 provision of the general statutes relating to referenda from pursuing  
1653 injunctive and any other ancillary equitable relief directly from the  
1654 Superior Court by the filing of a complaint;

1655 [(9)] (10) To refer to the Attorney General evidence pertaining to any  
1656 ruling which the commission finds to be in error made by election  
1657 officials in connection with any election, primary or referendum. Those  
1658 remedies and procedures available to parties claiming to be aggrieved  
1659 under the provisions of sections 9-323, 9-324, as amended by this act, 9-  
1660 328 and 9-329a shall apply to any complaint brought by the Attorney  
1661 General as a result of the provisions of this subdivision;

1662 [(10)] (11) To consult with the United States Department of Justice  
1663 and the United States Attorney for Connecticut on any investigation  
1664 pertaining to a violation of this section, section 9-12, subsection (a) of  
1665 section 9-17 or section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a,  
1666 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-

1667 35c, 9-40a, 9-42, 9-43, 9-50a, 9-56 or 9-59 and to refer to said department  
1668 and attorney evidence bearing upon any such violation for prosecution  
1669 under the provisions of the National Voter Registration Act of 1993,  
1670 P.L. 103-31, as amended from time to time;

1671 [(11)] (12) To inspect reports filed with the Secretary of the State and  
1672 with town clerks pursuant to chapter 150 and refer to the Chief State's  
1673 Attorney evidence bearing upon any violation of law therein if such  
1674 violation was committed knowingly and wilfully;

1675 [(12)] (13) To intervene in any action brought pursuant to the  
1676 provisions of sections 9-323, 9-324, as amended by this act, 9-328 and 9-  
1677 329a upon application to the court in which such action is brought  
1678 when in the opinion of the court it is necessary to preserve evidence of  
1679 possible criminal violation of the election laws;

1680 [(13)] (14) To adopt and publish regulations pursuant to chapter 54  
1681 to carry out the provisions of section 9-7a, this section, sections 1 to 4,  
1682 inclusive, 6 to 24, inclusive, and 40 of this act, and chapter 150; to issue  
1683 upon request and publish advisory opinions in the Connecticut Law  
1684 Journal upon the requirements of chapter 150 and sections 1 to 4,  
1685 inclusive, 6 to 24, inclusive, and 40 of this act, and to make  
1686 recommendations to the General Assembly concerning suggested  
1687 revisions of the election laws;

1688 [(14)] (15) To the extent that the State Elections Enforcement  
1689 Commission is involved in the investigation of alleged or suspected  
1690 criminal violations of any provision of the general statutes or sections 1  
1691 to 4, inclusive, 6 to 24, inclusive, and 40 of this act, pertaining to or  
1692 relating to any such election, primary or referendum and is engaged in  
1693 such investigation for the purpose of presenting evidence to the Chief  
1694 State's Attorney, the State Elections Enforcement Commission shall be  
1695 deemed a law enforcement agency for purposes of subdivision (3) of  
1696 subsection (b) of section 1-210, provided nothing in this section shall be  
1697 construed to exempt the State Elections Enforcement Commission in  
1698 any other respect from the requirements of the Freedom of Information

1699 Act, as defined in section 1-200;

1700     ~~[(15)]~~ (16) To enter into such contractual agreements as may be  
1701 necessary for the discharge of its duties, within the limits of its  
1702 appropriated funds and in accordance with established procedures;  
1703 and

1704     ~~[(16)]~~ (17) To provide the Secretary of the State with notice and  
1705 copies of all decisions rendered by the commission in contested cases,  
1706 advisory opinions and declaratory judgments, at the time such  
1707 decisions, judgments and opinions are made or issued.

1708     (b) In the case of a refusal to comply with an order of the  
1709 commission issued pursuant to subdivision (3) of subsection (a) of this  
1710 section, the superior court for the judicial district of Hartford, on  
1711 application of the commission, may issue a further order to comply.  
1712 Failure to obey such further order may be punished by the court as a  
1713 contempt thereof.

1714     ~~(c) (1) In addition to its jurisdiction over persons who are residents~~  
1715 ~~of this state, the State Elections Enforcement Commission may exercise~~  
1716 ~~personal jurisdiction over any nonresident person, or the agent of such~~  
1717 ~~person, who makes a payment of money, gives anything of value or~~  
1718 ~~makes a contribution or expenditure to or for the benefit of any~~  
1719 ~~committee or candidate.~~

1720     ~~(2) Where personal jurisdiction is based solely upon this subsection,~~  
1721 ~~an appearance does not confer personal jurisdiction with respect to~~  
1722 ~~causes of action not arising from an act enumerated in this subsection.~~

1723     ~~(3) Any nonresident person or the agent of such person over whom~~  
1724 ~~the State Elections Enforcement Commission may exercise personal~~  
1725 ~~jurisdiction, as provided in subdivision (1) of this subsection, shall be~~  
1726 ~~deemed to have appointed the Secretary of the State as the person's or~~  
1727 ~~agent's attorney and to have agreed that any process in any complaint,~~  
1728 ~~investigation or other matter conducted pursuant to section 9-7b~~  
1729 ~~brought against the nonresident person, or said person's agent, may be~~

1730 served upon the Secretary of the State and shall have the same validity  
1731 as if served upon such nonresident person or agent personally. The  
1732 process shall be served by the officer to whom the same is directed  
1733 upon the Secretary of the State by leaving with or at the office of the  
1734 Secretary of the State, at least twelve days before any required  
1735 appearance day of such process, a true and attested copy of such  
1736 process, and by sending to the nonresident person or agent so served,  
1737 at the person's or agent's last-known address, by registered or certified  
1738 mail, postage prepaid, a like and attested copy with an endorsement  
1739 thereon of the service upon the Secretary of the State. The Secretary of  
1740 the State shall keep a record of each such process and the day and hour  
1741 of service.

1742       Sec. 37. Section 9-324 of the general statutes is repealed and the  
1743 following is substituted in lieu thereof:

1744       Any elector or candidate who claims that [he] such elector or  
1745 candidate is aggrieved by any ruling of any election official in  
1746 connection with any election for Governor, Lieutenant Governor,  
1747 Secretary of the State, State Treasurer, Attorney General, State  
1748 Comptroller or judge of probate, held in [his] such elector or  
1749 candidate's town, or that there has been a mistake in the count of the  
1750 votes cast at such election for candidates for said offices or any of  
1751 them, at any voting district in [his] such elector or candidate's town, or  
1752 any candidate for such an office who claims that [he] such candidate is  
1753 aggrieved by a violation of any provision of [sections] section 9-355, 9-  
1754 357 to 9-361, inclusive, 9-364, 9-364a or 9-365 in the casting of absentee  
1755 ballots at such election or any candidate for the office of Governor,  
1756 Lieutenant Governor, Secretary of the State, State Treasurer, Attorney  
1757 General or State Comptroller, who claims that such candidate is  
1758 aggrieved by a violation of any provision of sections 1 to 4, inclusive, 6,  
1759 7, 10 to 24, inclusive, and 40 of this act, may bring [his] such elector or  
1760 candidate's complaint to any judge of the Superior Court, in which [he]  
1761 such elector or candidate shall set out the claimed errors of such  
1762 election official, the claimed errors in the count or the claimed  
1763 violations of said sections. In any action brought pursuant to the

1764 provisions of this section, the complainant shall send a copy of the  
1765 complaint by first-class mail, or deliver a copy of the complaint by  
1766 hand, to the State Elections Enforcement Commission. If such  
1767 complaint is made prior to such election, such judge shall proceed  
1768 expeditiously to render judgment on the complaint and shall cause  
1769 notice of the hearing to be given to the Secretary of the State and the  
1770 State Elections Enforcement Commission. If such complaint is made  
1771 subsequent to the election, it shall be brought [within] not later than  
1772 fourteen days of the election and such judge shall forthwith order a  
1773 hearing to be had upon such complaint, upon a day not more than five  
1774 nor less than three days from the making of such order, and shall cause  
1775 notice of not less than three nor more than five days to be given to any  
1776 candidate or candidates whose election may be affected by the decision  
1777 upon such hearing, to such election official, the Secretary of the State,  
1778 the State Elections Enforcement Commission and to any other party or  
1779 parties whom such judge deems proper parties thereto, of the time and  
1780 place for the hearing upon such complaint. Such judge shall, on the  
1781 day fixed for such hearing and without unnecessary delay, proceed to  
1782 hear the parties. If sufficient reason is shown, [he] such judge may  
1783 order any voting machines to be unlocked or any ballot boxes to be  
1784 opened and a recount of the votes cast, including absentee ballots, to  
1785 be made. Such judge shall thereupon, in case [he] such judge finds any  
1786 error in the rulings of the election official, any mistake in the count of  
1787 the votes or any violation of said sections, certify the result of [his]  
1788 such judge's finding or decision to the Secretary of the State before the  
1789 fifteenth day of the next succeeding December. Such judge may order a  
1790 new election or a change in the existing election schedule. Such  
1791 certificate of such judge of [his] such judge's finding or decision shall  
1792 be final and conclusive upon all questions relating to errors in the  
1793 rulings of such election officials, to the correctness of such count, and,  
1794 for the purposes of this section only, such claimed violations, and shall  
1795 operate to correct the returns of the moderators or presiding officers,  
1796 so as to conform to such finding or decision, unless the same is  
1797 appealed from as provided in section 9-325.



1798 Sec. 38. Subsections (b) and (c) of section 9-348ee of the general  
1799 statutes are repealed and the following is substituted in lieu thereof:

1800 (b) [On and after January 1, 1999, the] The campaign treasurer of the  
1801 candidate committee for (1) each candidate for nomination or election  
1802 in 2002, to the office of Governor, Lieutenant Governor, Attorney  
1803 General, State Comptroller, State Treasurer or Secretary of the State  
1804 who raises or spends two hundred fifty thousand dollars or more  
1805 during an election campaign, (2) each candidate for nomination or  
1806 election in 2006, or thereafter, to the office of Governor, Lieutenant  
1807 Governor, Attorney General, State Comptroller, State Treasurer or  
1808 Secretary of the State who raises or spends any amount during an  
1809 election campaign, and (3) each candidate for nomination or election in  
1810 2006, or thereafter, to the office of state senator or state representative  
1811 who has received contributions totaling fifty per cent of the applicable  
1812 primary period or election period expenditure limit in section 8 of this  
1813 act, shall file in electronic form all financial disclosure statements  
1814 required by said section 9-333j by either transmitting disks, tapes or  
1815 other electronic storage media containing the contents of such  
1816 statements to the office of the Secretary of the State or transmitting the  
1817 statements on-line to said office. Each such campaign treasurer shall  
1818 use, for all such statements, either [(1)] (A) a software program created  
1819 by the Secretary of the State under subdivision (1) of subsection (a) of  
1820 this section, [for all such statements filed on or after January 1, 1999, or  
1821 (2)] or (B) another software program which provides for the standard  
1822 reporting format, and complies with the specifications, which are  
1823 prescribed by the secretary under subdivision (2) of subsection (a) of  
1824 this section. [ for all such statements filed on or after July 1, 1999.] The  
1825 office of the Secretary of the State shall accept any statement that uses  
1826 any such software program. Once any such candidate committee has  
1827 raised or spent [two hundred fifty thousand dollars or more] said  
1828 amount during an election campaign, all previously filed statements  
1829 required by said section 9-333j, which were not filed in electronic form  
1830 shall be refiled in such form, using such a software program, not later  
1831 than the date on which the campaign treasurer of the committee is

1832 required to file the next regular statement under said section 9-333j.

1833 (c) [On and after January 1, 1999, (1) the] The campaign treasurer of  
1834 the candidate committee for any [other] candidate, as defined in  
1835 section 9-333a, who is required to file [the] financial disclosure  
1836 statements required by section 9-333j with the office of the Secretary of  
1837 the State but is not required to file such statements in electronic form  
1838 under subsection (a) of this section and [(2)] the campaign treasurer of  
1839 any political committee or party committee [,] may file such statements  
1840 in electronic form. [any financial disclosure statements required by  
1841 said section 9-333j.] Such filings may be made by either transmitting  
1842 disks, tapes or other electronic storage media containing the contents  
1843 of such statements to the proper authority under section 9-333e or  
1844 transmitting the statements on-line to such proper authority. Each such  
1845 campaign treasurer shall use, for all such statements filed in electronic  
1846 form, either [(A)] (1) a software program created by the Secretary of  
1847 the State under subdivision (1) of subsection (a) of this section, [for all  
1848 such statements filed in electronic form on or after January 1, 1999, or  
1849 (B)] or (2) another software program which provides for the standard  
1850 reporting format, and complies with the specifications, which are  
1851 prescribed by the secretary under subdivision (2) of subsection (a) of  
1852 this section. [, for all such statements filed in electronic form on or after  
1853 July 1, 1999.] The proper authority under section 9-333e shall accept  
1854 any statement that uses any such software program.

1855 Sec. 39. Section 9-348gg of the general statutes is repealed and the  
1856 following is substituted in lieu thereof:

1857 On and after January 1, [2000] 2002, the Secretary of the State shall  
1858 make all computerized data from statements required by section 9-333j  
1859 available to the public, not later than two business days after the  
1860 statements are filed, through (1) computer terminals in the Office of  
1861 the Secretary of the State and, if feasible, at remote access locations,  
1862 and (2) the Internet or any other generally available on-line computer  
1863 network.

1864       Sec. 40. (NEW) (a) (1) No candidate for the office of Governor or  
1865 Lieutenant Governor shall solicit contributions, on behalf of a  
1866 candidate committee established by a candidate for nomination or  
1867 election to any public office or on behalf of any political committee or  
1868 party committee, or accept contributions (A) from any individual who  
1869 (i) is an officer, director, owner, limited or general partner or holder of  
1870 stock constituting five per cent or more of the total outstanding stock  
1871 of any class of a business which has a contract with the state valued at  
1872 two hundred fifty thousand dollars or more, and (ii) has substantial  
1873 policy or decision-making authority related to the administration of  
1874 said contract, or (B) from a political committee established by such  
1875 business.

1876       (2) No such individual from such business and no political  
1877 committee established by such business shall make a contribution to  
1878 any candidate committee established by a candidate for the office of  
1879 Governor or Lieutenant Governor, during the term of such contract. If  
1880 any such individual or political committee makes such a contribution,  
1881 the business shall be prohibited from being awarded a state contract  
1882 for one year after the election for which such contribution is made.

1883       (b) (1) No candidate for the office of Attorney General, State  
1884 Comptroller or Secretary of the State shall solicit contributions, on  
1885 behalf of a candidate committee established by a candidate for  
1886 nomination or election to any public office or on behalf of any political  
1887 committee or party committee, or accept contributions (A) from any  
1888 individual who (i) is an officer, director, owner, limited or general  
1889 partner or holder of stock constituting five per cent or more of the total  
1890 outstanding stock of any class of a business which has a contract with  
1891 such official's office valued at two hundred fifty thousand dollars or  
1892 more, and (ii) has substantial policy or decision-making authority  
1893 related to the administration of said contract, or (B) from a political  
1894 committee established by such business.

1895       (2) No such individual from such business and no political  
1896 committee established by such business shall make a contribution to

1897 any candidate committee established by a candidate for the office with  
1898 which the business has a contract, during the term of such contract. If  
1899 any such individual or political committee makes such a contribution,  
1900 the business shall be prohibited from being awarded a contract from  
1901 such office for one year after the election for which such contribution is  
1902 made.

1903 (3) The provisions of this subsection shall also apply to the State  
1904 Treasurer to the extent such provisions are not inconsistent with other  
1905 statutory restrictions relating to the State Treasurer.

1906 (c) (1) No candidate for the office of state senator or state  
1907 representative shall solicit contributions, on behalf of a candidate  
1908 committee established by a candidate for nomination or election to any  
1909 public office or on behalf of any political committee or party  
1910 committee, or accept contributions (A) from any individual who (i) is  
1911 an officer, director, owner, limited or general partner or holder of stock  
1912 constituting five per cent or more of the total outstanding stock of any  
1913 class of a business which has a contract with the General Assembly  
1914 valued at two hundred fifty thousand dollars or more, and (ii) has  
1915 substantial policy or decision-making authority related to the  
1916 administration of said contract, or (B) from a political committee  
1917 established by such business.

1918 (2) No such individual from such business and no political  
1919 committee established by such business shall make a contribution to  
1920 any candidate committee established by a candidate for the office of  
1921 state senator or state representative, during the term of such contract.  
1922 If any such individual or political committee makes such a  
1923 contribution, the business shall be prohibited from being awarded a  
1924 contract by the General Assembly for one year after the election for  
1925 which such contribution is made.

1926 Sec. 41. This act shall take effect July 1, 2001, except that section 29  
1927 shall take effect July 1, 2003, and sections 3 and 4 shall be applicable to  
1928 taxable years commencing on or after January 1, 2001.

<b><i>GAE</i></b>	<i>JOINT FAVORABLE SUBST. C/R</i>	JUD
<b><i>JUD</i></b>	<i>JOINT FAVORABLE C/R</i>	APP
<b><i>APP</i></b>	<i>JOINT FAVORABLE C/R</i>	FIN